

2-8 july  
2021

# PULSE school

Epitaxy bases and promises



TOR VERGATA  
UNIVERSITÀ DEGLI STUDI DI ROMA

## EPITAXIAL GROWTH OF 2D MATERIALS

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GDR CNRS Pulse



Im2np



# 2D MATERIALS

Crystalline solids constituted by a single layer of atoms (or molecules)

- ❑ single element → suffix –ene
- ❑ compounds of two or more materials → suffix –ane or –ide

## WHY ARE THEY INTERESTING AND FASCINATING?

- ❑ Enhanced quantum confinement
- ❑ High surface-to-volume ratios



Structural, thermal, chemical, optical,  
magnetic, electrical and mechanical properties

absent in their 3D counterpart  
&  
otherwise unattainable

NOT ALWAYS EXISTS A BULK COUNTERPART

## LAYERED: 3D COUNTERPART EXISTS !

## 2D MATERIALS

**IN-PLANE** covalent or ionic bonding & **OUT-OF-PLANE** vdW or hydrogen bonding

🔑 EASY TO EXFOLIATE

- 🌀 Graphene, group V (phosphorene, arsenene, antimonene, bismuthene) semiconductors, TMDC (especially  $\text{MoS}_2$ ,  $\text{WS}_2$ ,  $\text{MoSe}_2$ , and  $\text{WSe}_2$ )
- 🌀 h-BN, h-SiC, vanadium oxide and  $\text{Sb}_2\text{Te}_3$ ,  $\text{Bi}_2\text{Se}_3$ ,  $\text{Bi}_2\text{Te}_3$
- 🌀 Charged polyhedral layer sandwiched between hydroxide or halide layers by electrostatic forces: e.g. Perovskite type oxides

→ TOP-DOWN AND BOTTOM-UP FABRICATION APPROACHES

## NON LAYERED: IN 3D ONLY CHEMICAL BONDS!

🔑 NEED OF STRATEGIES TO ARTIFICIALLY FABRICATE

- 🌀 silicene, germanene, stanene, group III (borophene, gallenene) metals
- 🌀 metal oxides
- 🌀 some metal chalcogenides and dichalcogenides

→ BOTTOM-UP FABRICATION APPROACHES

**ORGANICS:** single crystalline sheets with molecular level thickness mostly based on small molecules (e.g. pentacene, rubrene, nucleic acid, etc.) & polymers such as poly(3-hexylthiophene), polypropylene, polystyrene, proteins.

→ BOTTOM-UP FABRICATION APPROACHES

# EPITAXIAL GROWTH of 2D MATERIALS

EPITAXY

EPI «ABOVE»

TAXIS «AN ORDERED MANNER»

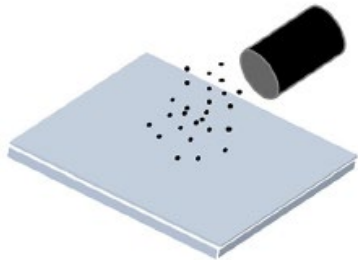
Growth on a crystalline substrate  
of a crystalline layer following  
the structure of the substrate



The deposited layer  
is  
the epitaxial layer

Crystalline Substrate

+ Material to grow on



Epitaxial  
growth

Most used techniques :

- ❖ Molecular Beam Epitaxy (MBE) in ultra-high-vacuum (UHV)
- ❖ Electron beam deposition in ultra-high-vacuum
- ❖ Chemical Vapor Deposition (CVD)
- ❖ Metal Organic Chemical Vapor Deposition (MO-CVD)



# WHY EPITAXIAL GROWTH?

In graphene case → high-quality material in X-ene case → it's necessary!

👉 **SUBSTRATE** → scaffold to support and stabilize the 2D materials grown on top



construction of 2D materials  
naturally non-existing or not stable (e.g. free-standing films)

👉 **UV or UHV CONDITIONS** → clean 2D material surfaces



Fundamental to avoid contaminants and decorations  
dramatically changing the 2D layer physical and chemical properties

👉 **HIGH QUALITY EPITAXIALLY GROWN 2D MATERIAL + DEVELOPED/ING TRANSFER TECHNIQUES**



facilitate device fabrication



Potentially influencing the intrinsic properties of 2D materials and preventing a direct translation into manufactured devices

# WHICH ARE THE EPITAXIAL GROWTH DRIVING FORCES?

- ✓ THE SUBSTRATE CRYSTAL SURFACE NETWORK
- ✓ THE EFFECT OF THE SUBSTRATE ON THE INTRODUCTION OF STRAIN AND STRESS INTO THE RESULTING MATERIAL DURING THE EPITAXIAL GROWTH
- ✓ THE ATOMIC PROPERTIES OF THE SUBSTRATE
  - IS IT PRONE TO STRONG INTERACTIONS WITH THE OVERLAYER?
    - ❑ Change in the (expected) physical properties of the 2D material
    - ❑ Restriction in obtaining surface aggregation of atoms
  - OR ARE THE INTERACTIONS TOO WEAK?
    - ❑ Generation of three-dimensional islands
- ✓ THE PHASE DIAGRAM OF THE SUBSTRATE AND OVERLAYER ATOMIC ELEMENTS
  - ❑  $\frac{\Delta a}{a} < 15\%$  gives rise to substitutional alloy
  - ❑ The smaller electronegativity difference, the higher solubility
- ✎ It neglects the higher surface reactivity than bulk
- ✎ The energy released by absorbed atoms which can be used to overcome energy barrier and interact with surface host atoms

THIS IS NOT ENOUGH!

- ✓ THE SURFACE ENERGY OF THE AS-PREPARED MATERIAL WHICH MUST BE LOWER THAN THE SUBSTRATE ONE

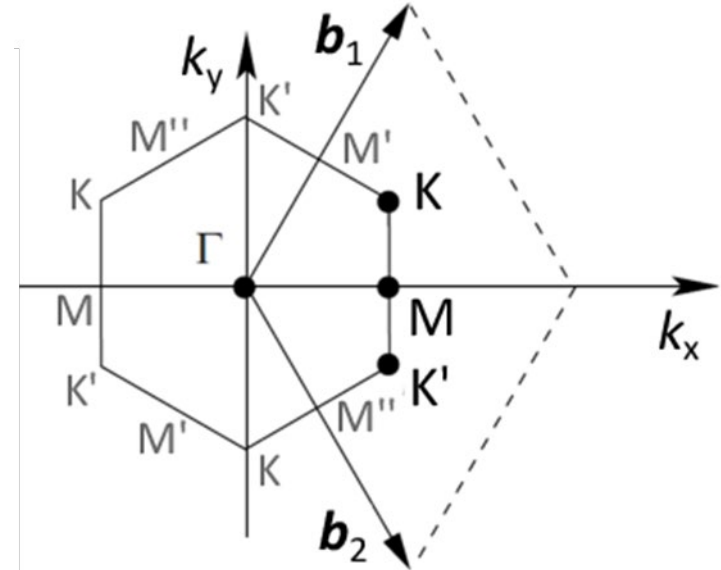
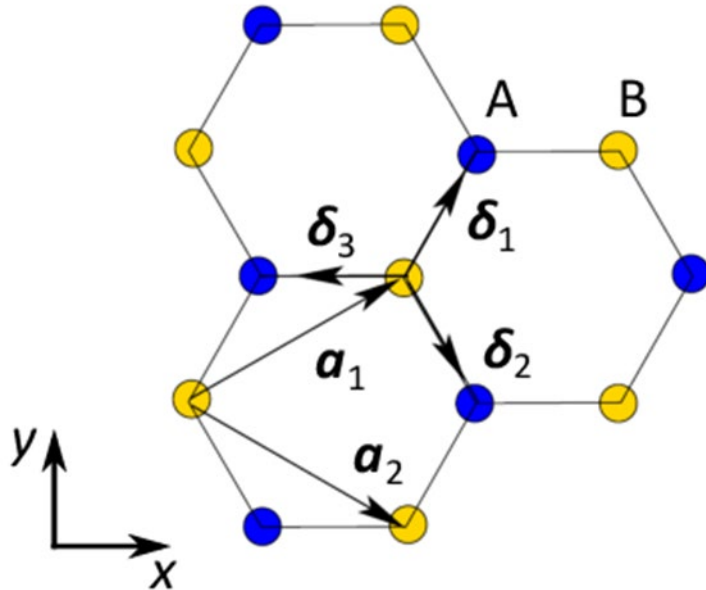
# GRAPHENE

$sp^2$  HYBRIDIZATION - PLANAR

OUT-OF-PLANE  $\pi_z$  ORBITALS

DIRECT LATTICE NETWORK

RECIPROCAL LATTICE & FIRST BRILLOUIN ZONE



*Honeycomb network*

$$a = |\vec{a}_1| = |\vec{a}_2| = 0.246 \text{ nm}$$

$$|\vec{\delta}_1| = |\vec{\delta}_2| = |\vec{\delta}_3| = 0.142 \text{ nm}$$

$$\vec{a}_i \cdot \vec{b}_j = 2\pi \delta_{i,j}$$

$$\vec{b}_1 = 2\pi/\Omega (\vec{a}_2 \times \vec{a}_3)$$

$$\vec{b}_2 = 2\pi/\Omega (\vec{a}_3 \times \vec{a}_1)$$

$$\vec{b}_3 = 2\pi/\Omega (\vec{a}_1 \times \vec{a}_2)$$

$$\text{where } \Omega = \vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)$$

Any point of the cell is closer to the chosen lattice point ( $b \equiv 0$ , i.e.  $\Gamma$  point) than any other

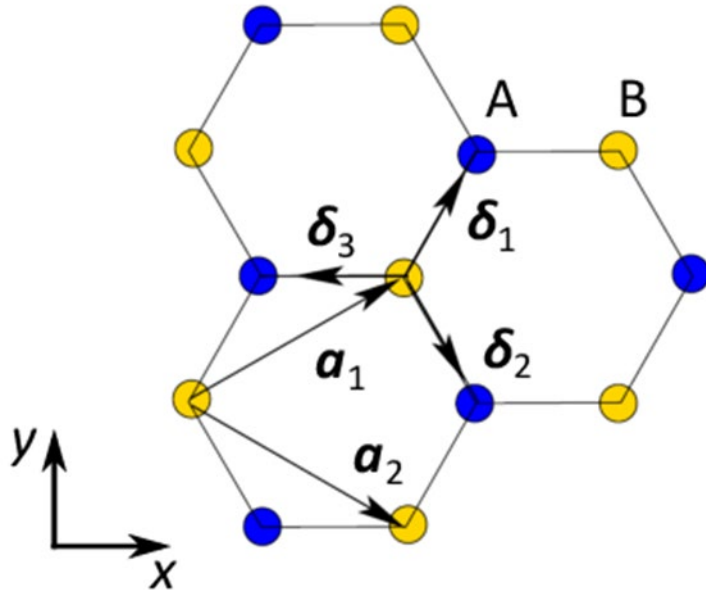
Obtained by bisecting with normal planes nearest neighbor reciprocal lattice vectors

# GRAPHENE

$sp^2$  HYBRIDIZATION - PLANAR

OUT-OF-PLANE  $\pi_2$  ORBITALS

DIRECT LATTICE NETWORK

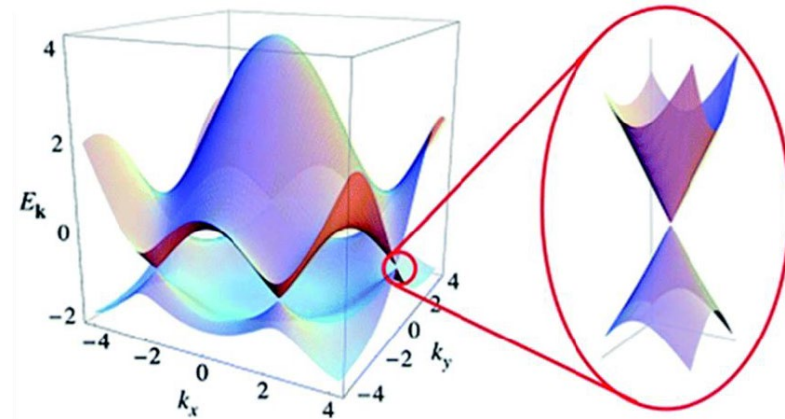
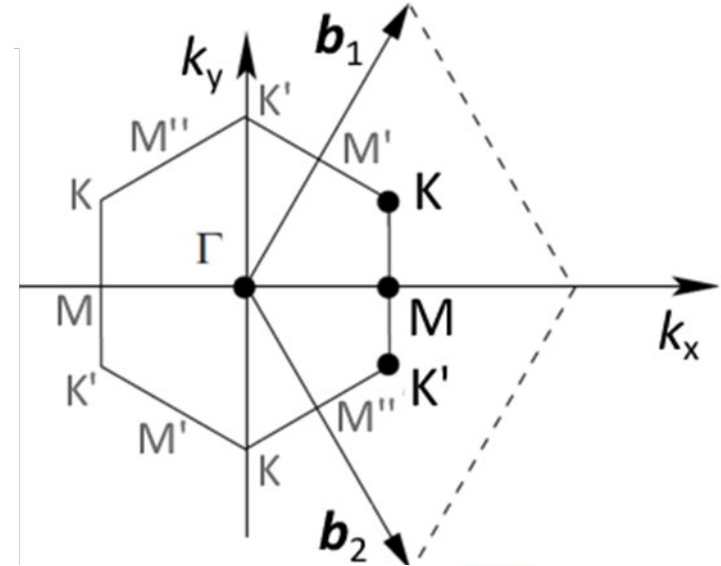


Honeycomb network

$$a = |a_1| = |a_2| = 0.246 \text{ nm}$$

$$|\delta_1| = |\delta_2| = |\delta_3| = 0.142 \text{ nm}$$

RECIPROCAL LATTICE & FIRST BRILLOUIN ZONE



- Dirac cones formation , crossing at  $E_F$
- semiconductor with  $E_g = 0$
- high electron mobility  $1.5 \times 10^5 \text{ cm}^2 \cdot \text{V}^{-1} \cdot \text{s}^{-1}$

HIGH QUALITY GRAPHENE EPIAXIALLY GROWN ON SEVERAL TYPES OF SUBSTRATES INCLUDING:

- ✓ TRANSITION METAL
- ✓ SEMICONDUCTING (E.G. SiC)
- ✓ LARGE BAND-GAP DIELECTRICS (E.G. H-BN) SUBSTRATES

$[Ar]3d^84s^2$   $[Ar]3d^{10}4s^1$   
Ru(0001), Ni(111), Pt(111) and Cu(111) →  
 $[Kr]4d^75s^1$   $[Xe]4f^{14}5d^96s^1$

- ☐ Lattice misfit,  $\frac{\Delta a}{a} < or > 1\%$
- ☐ Substrate-overlayer interactions

H-SiC(0001) because of its importance in technology

### MOST USED C SOURCES:

- ❖ Hydrocarbon thermal decomposition on surface
- ❖ Segregation of C impurities from the bulk to the surface during the annealing and cooling stages.
- ❖ C-Si bonds decomposition via direct annealing in SiC

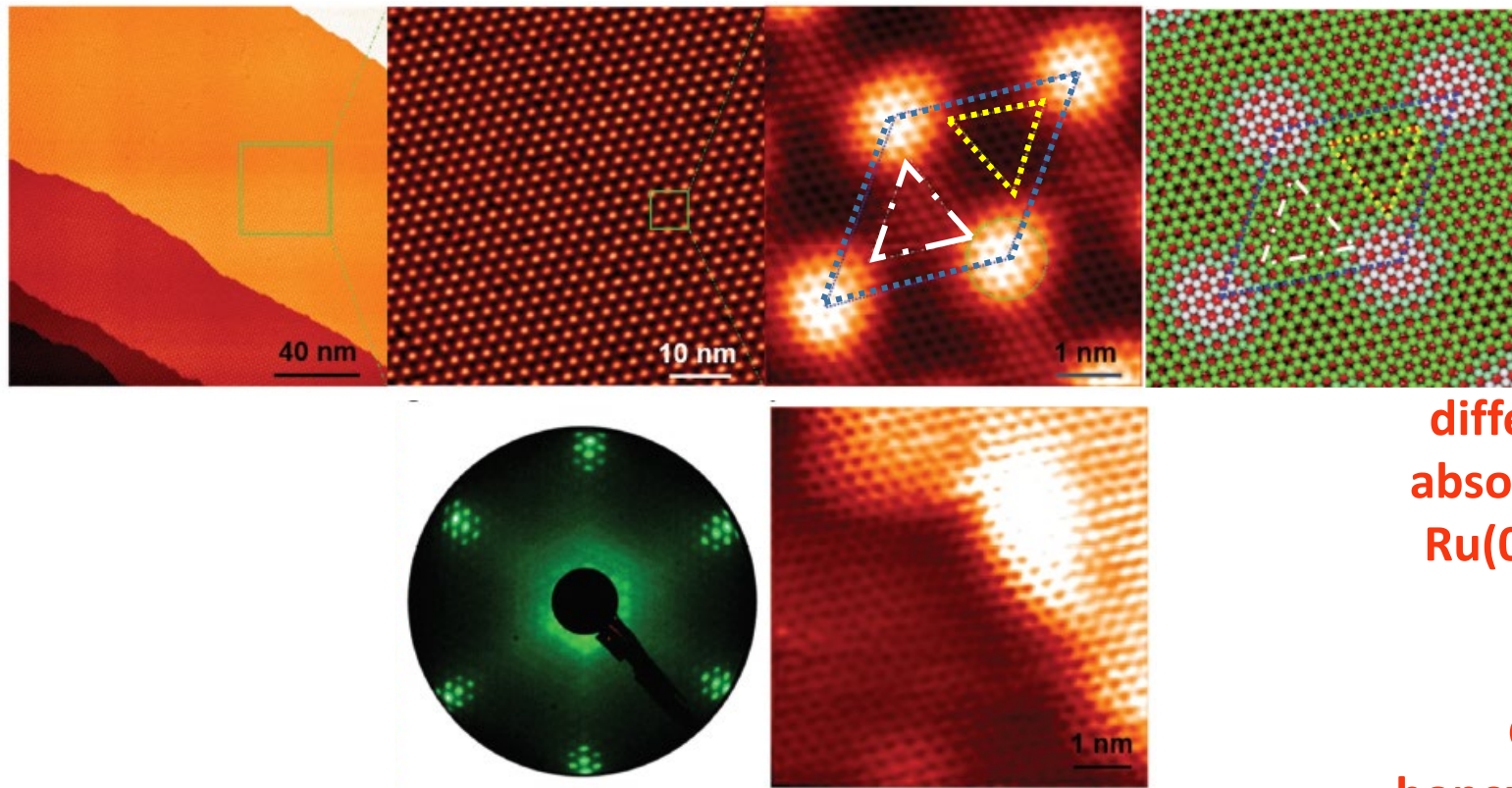


# SINGLE CRYSTAL EPITAXIAL GRAPHENE on Ru(0001) SUBSTRATE

Depending on the initial C concentration

- ❖ Direct annealing of Ru(0001) substrate at  $T > 1000^{\circ}\text{C}$ , thus exploiting the low % of C
- ❖ By annealing at high  $T$  ( $\sim 800^{\circ}\text{C}$ ) Ru(0001) substrate during ethylene exposure

## MOIRÉ PATTERN



$$\frac{\Delta a}{a} \cong 9\%$$



different C atom  
absorbing sites on  
Ru(0001) surface



Graphene  
honeycomb and hcp  
arrangements

MEASURED DISTANCE Ru - GRAPHENE  $\sim 0.2 \text{ nm}$

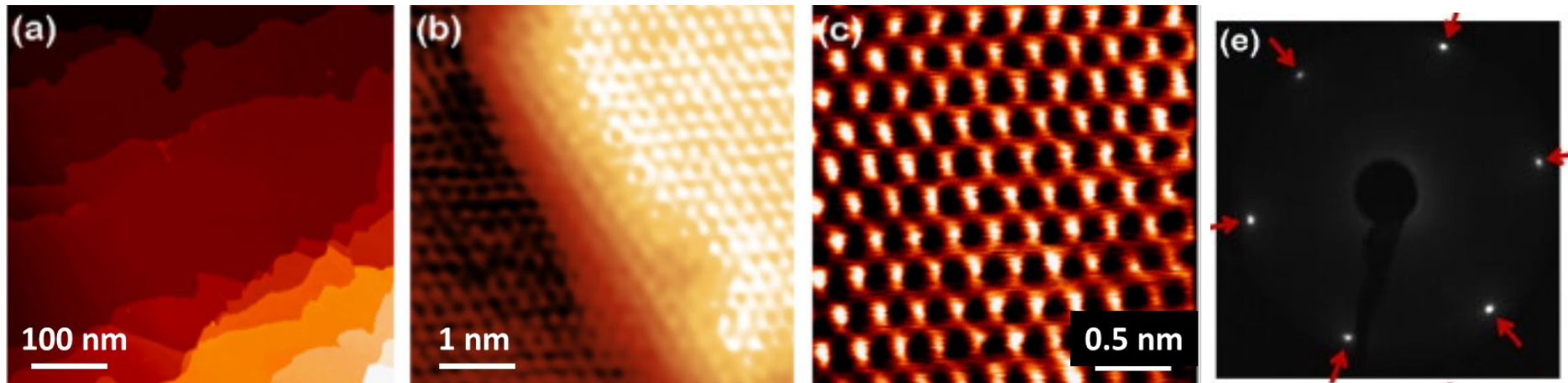
# SINGLE CRYSTAL EPITAXIAL GRAPHENE on Ni(111) SUBSTRATE

By annealing at T ( 500°C ÷ 700°C) the Ni(111) substrate during ethylene (hydrocarbon gas) exposure

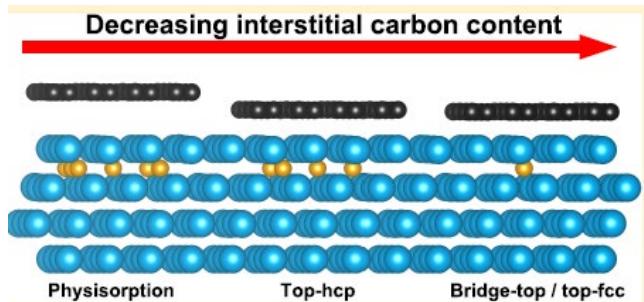
MEASURED DISTANCE Ni - GRAPHENE ~0.2 nm

$$\frac{\Delta a}{a} \cong 1.2 \%$$

NO MOIRÉ PATTERN



F. Ronci et al., Carbon 158 (2020) 631-641

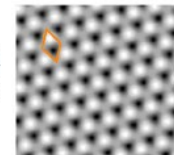
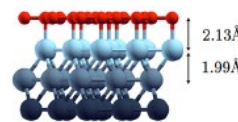
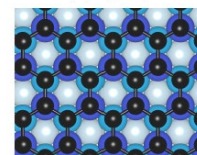
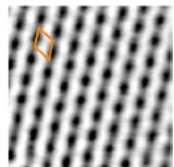
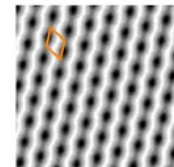
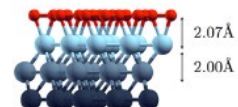
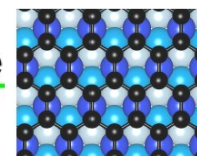
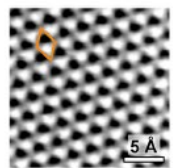
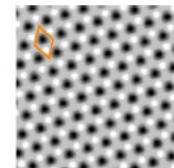
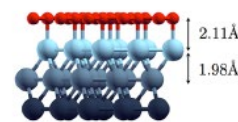
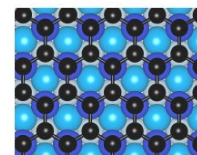


S. C. Matysik et al., J. Phys. Chem. C  
2018, 122, 26105-26110

65%  
top-fcc  
(-0.16 eV)

22%  
top-bridge  
(-0.15 eV)

13%  
top-hcp  
(-0.14 eV)



F. Bianchini et al., J. Phys. Chem. Lett. 2014, 5, 467-473



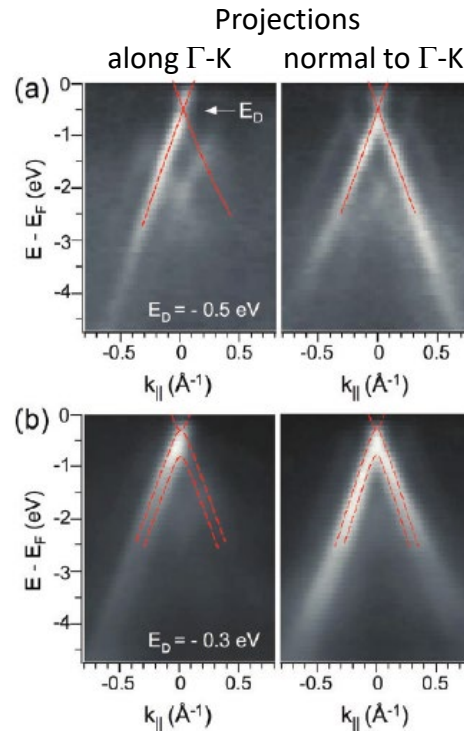
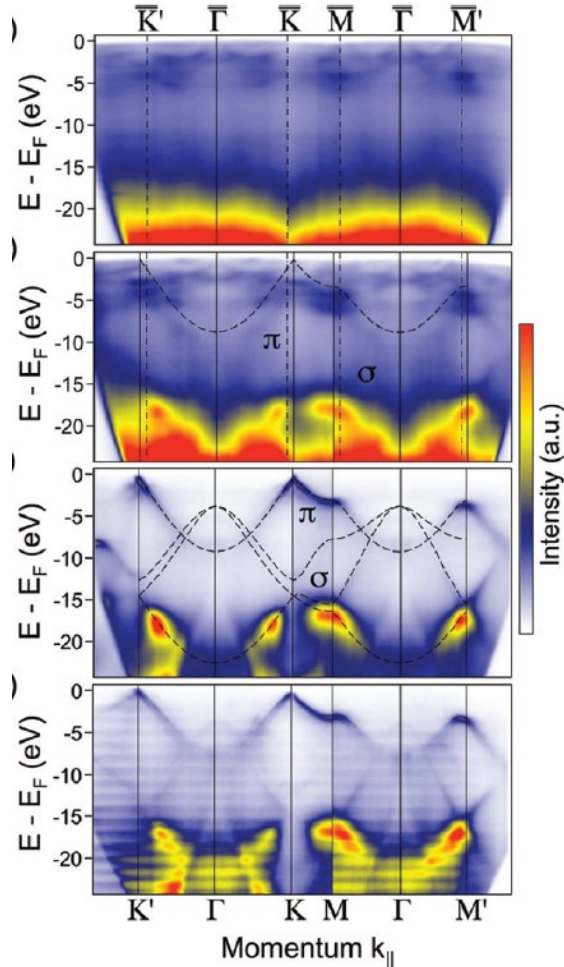
STRONG C ATOM INTERACTION WITH THE SUBSTRATE ATOMS



1 ML GRAPHENE  
NO LINEAR ENERGY DISPERSION

*Gr/Ru(0001) and Gr/Ni(111)*

A. Dahal et al. *Nanoscale* 6 (2014) 2548-2562.



2 ML graphene

- Dirac cones appear
- Dirac point not at  $E_F$



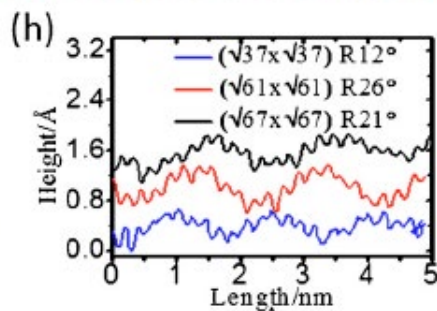
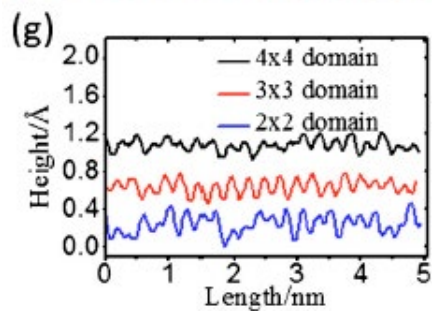
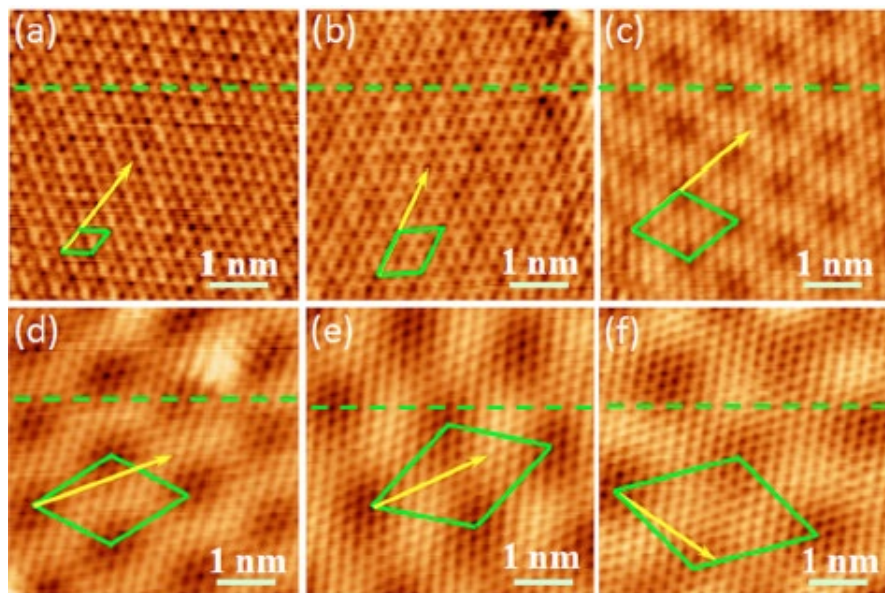
Effect of the substrate atom  
charge transfer

By increasing graphene ML  
charge transfer to the  
Graphene overlayers  
decreases

P. Sutter et al., *Nano Lett.*, Vol. 9, No. 7, 2009

*1<sup>st</sup> Graphene ML acts as a buffer  
layer, passivating the Ru d-states*

# POLYCRYSTALLINE GRAPHENE GROWTH on Pt(111) SUBSTRATE



Growth depends on

- C atom density at surface
- substrate T

Balance between low density of nucleation sites & low growth rate

LOW AMOUNT OF GRAPHENE DEFECTS

LARGE GRAPHENE DOMAINS

$$\frac{\Delta a}{a} \cong 11\%$$

- ✓ Dirac cones
- ✓ Upshift of the Dirac point due to charge transfer from Gr to Pt

WEAK INTERACTIONS



Multiple superstructures & orientations

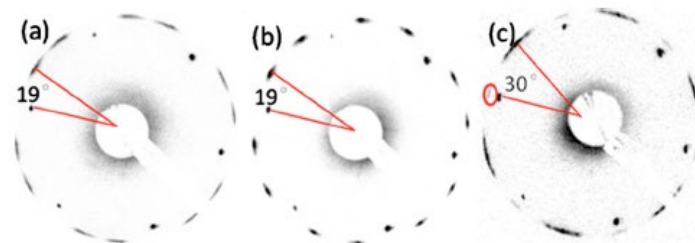


FIG. 1. (Color online) LEED pattern of EG on Pt (111) prepared by exposing to ethylene at different temperature, (a) 773, (b) 873, and (c) 973 K. The beam energy is 60 eV.

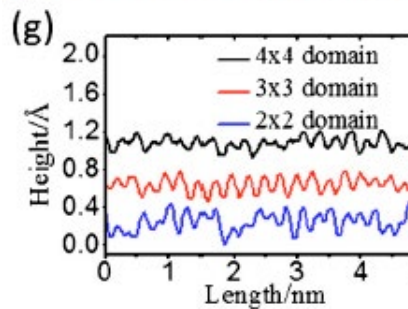
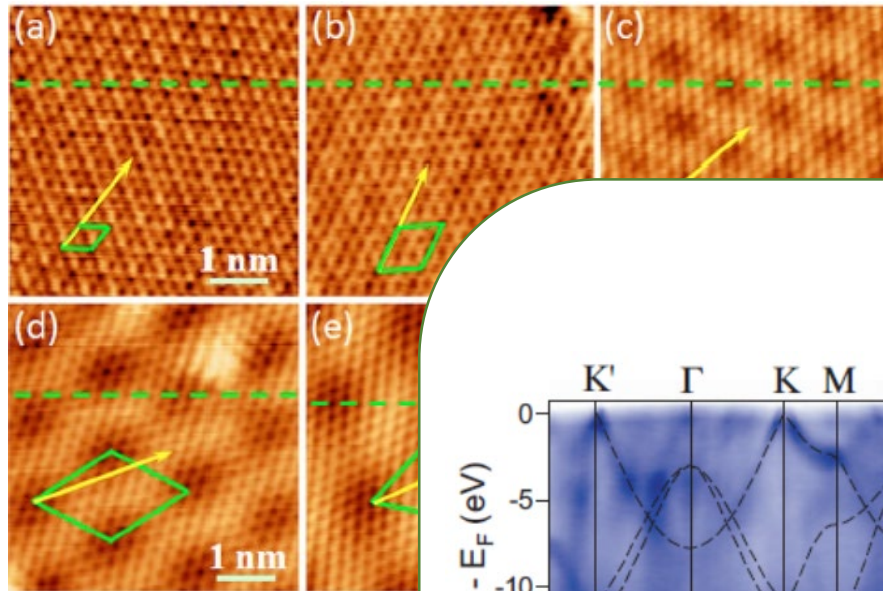
## SIMILAR ENERGY OF SUPERSTRUCTURES

Figure	Moiré superstructure with respect to graphene	Moiré periodicity (nm)	The rotation angle between graphene and Pt lattices (°)
Figure 3(a)	2 × 2	0.5	30
Figure 3(b)	3 × 3	0.738	19
Figure 3(c)	4 × 4	1	14
Figure 3(d)	(√37 × √37) R21°	1.5	6
Figure 3(e)	(√61 × √61) R26°	1.87	3
Figure 3(f)	(√67 × √67) R12°	2.1	2

MEASURED DISTANCE Pt - GRAPHENE ~ 0.31 nm



# POLYCRYSTALLINE GRAPHENE GROWTH on Pt(111) SUBSTRATE



Growth depends on

- C atom density
- substrate T

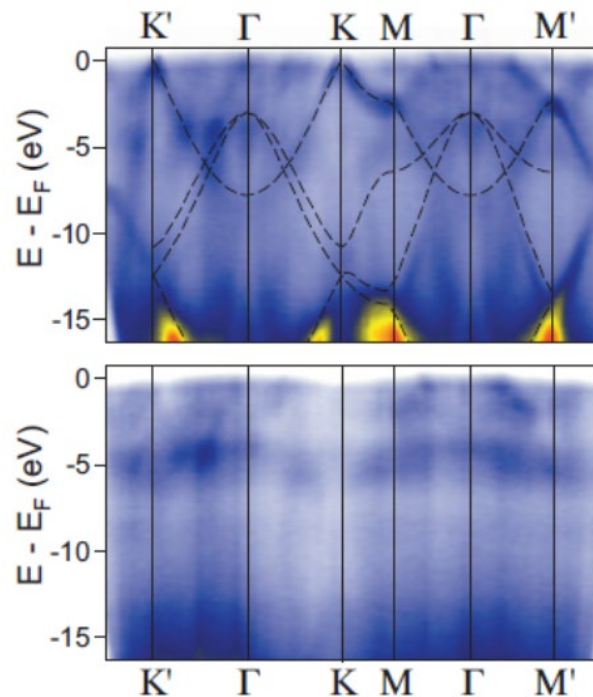
$$\frac{\Delta a}{a} \cong 11\%$$

- ✓ Dirac cones
- ✓ Upshift of the Dirac point due to charge transfer from Gr to Pt

WEAK INTERACTIONS →



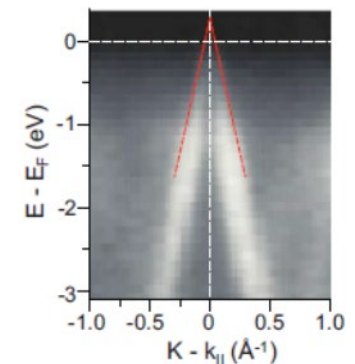
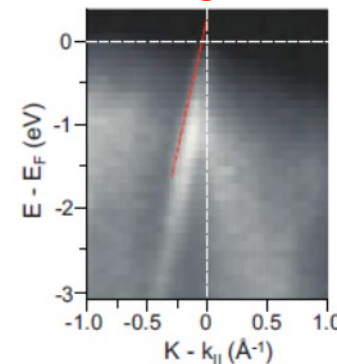
Multiple superstructures & orientations



Projections

along  $\Gamma$ -K

normal to  $\Gamma$ -K



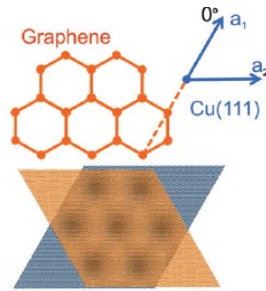
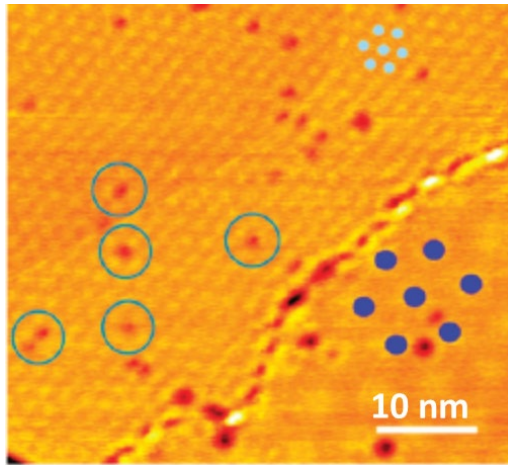
No significant hybridization between graphene  $\pi$  states and Pt metal d

Upshift of Dirac point of  $\sim 0.3$  eV

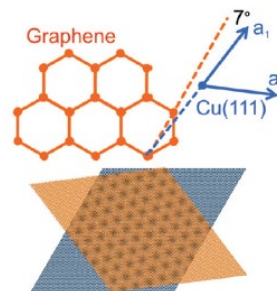


# POLYCRYSTALLINE GRAPHENE GROWTH on Cu(111) SUBSTRATE

L. Gao et al., Nano Lett. 2010, 10, 3512–3516



~ 6 nm

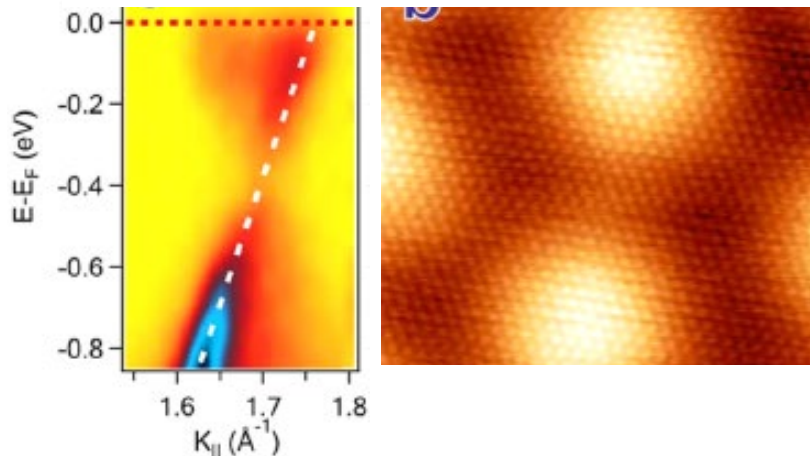


~ 2 nm

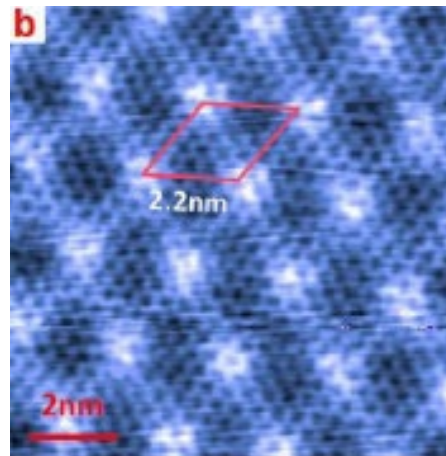
$$\frac{\Delta a}{a} \cong 3.5 \%$$

Weak interaction due to Cu full 3d orbitals

S. Gottardi et al., Nano Lett. 2015, 15, 917–922

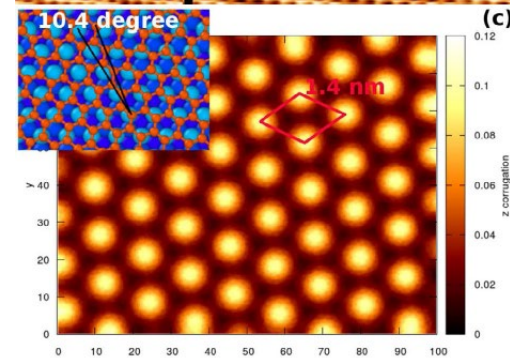
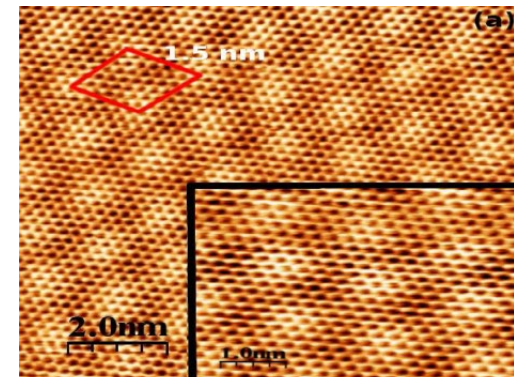


Dirac cone , Dirac point  
downshifted with respect to  $E_F$   
Charge transfer from Cu to  
graphene



T. Niu et al., J. Am.  
Chem. Soc. 2013, 135,  
8409–8414

Small height  
corrugation 0.025 nm



P. Sùle et al., Carbon  
77(2014)1082–1089

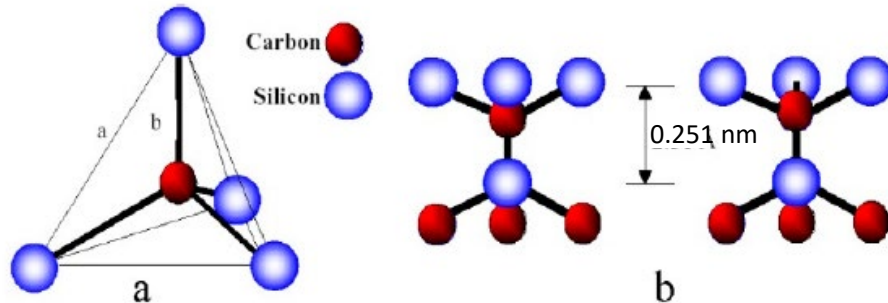
# GRAPHENE GROWTH on SiC(0001) SUBSTRATE

- No transfer problems
- Substrates as large as 6 inches availability
- SiC provides epitaxial conditions
- Commercially available as semiconducting (n- or p-type) and semi-insulating

## GROWTH TECHNIQUES :

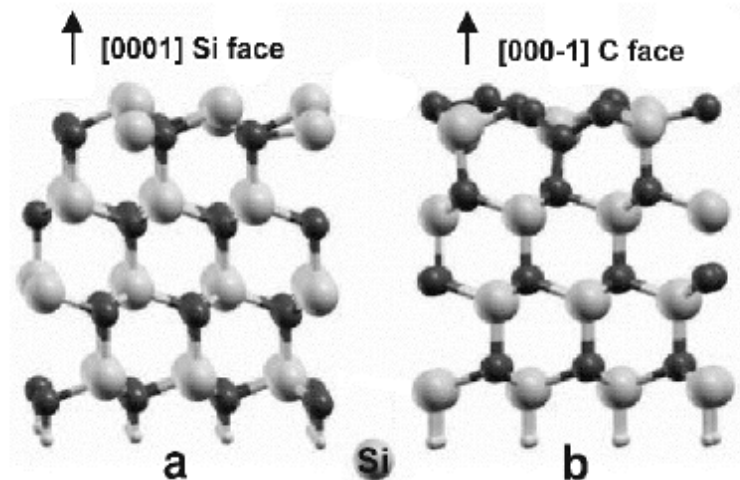
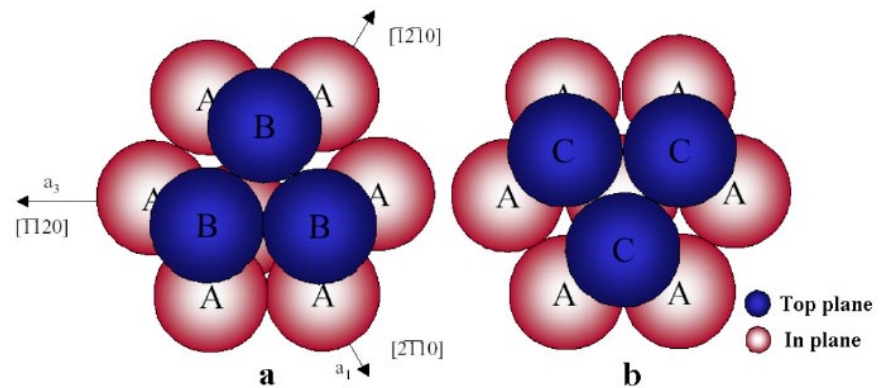
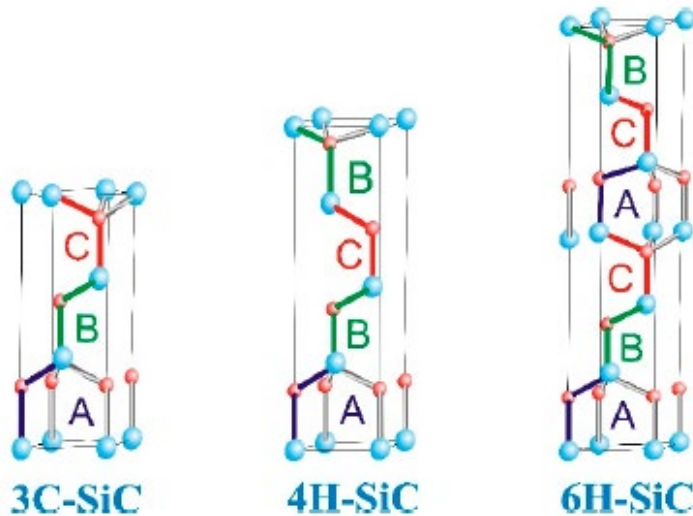
- Sublimation methods at high T in UHV or in Ar overpressure environment to improve uniformity
  - Exploring the higher vapor pressure of Si than C atoms in the SiC substrate, Si atoms desorb and leave C atoms behind, allowing a C-rich surface to emerge and order and clean in graphene layer
- Controlled sublimation methods, providing Si background vapour in a confined cavity during Si sublimates from SiC. It needs T higher than 300°C.
  - It provides controlled and constant silicon vapor density over the surface and near-thermodynamic equilibrium, which is essential for uniform and high quality graphene growth
- Using hydrocarbon gases in a CVD furnace. It allows to use lower T than by using sublimation methods.

# SiC POLYTYPES STRUCTURES



Two possible configurations  
one rotated with respect to  
the other of 180°

Si-Si or C-C : ~ 0.308 nm  
C-Si ~ 0.189 nm

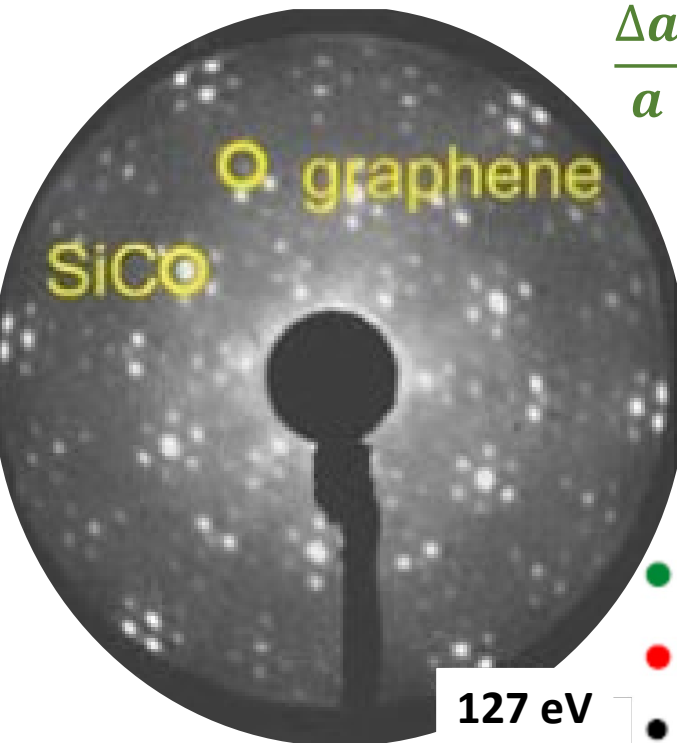


All the three polytypes have polar axes,  
due to microscopic presence of all  
aligned dipoles along those axes.

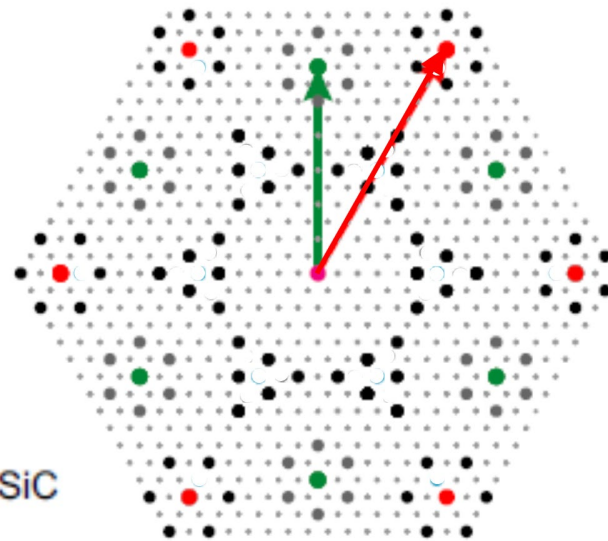


# Si-TERMINATED 4H-SiC(0001) & 6H-SiC(0001)

S. Goler et al., Carbon 51 (2013) 249-254



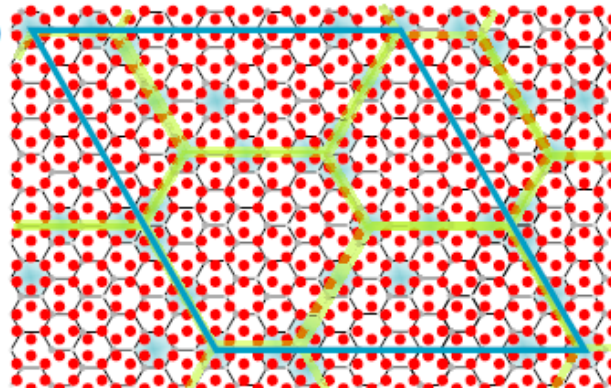
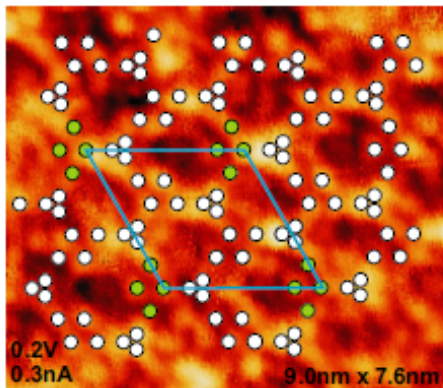
$$\frac{\Delta a}{a} \cong 2\%$$



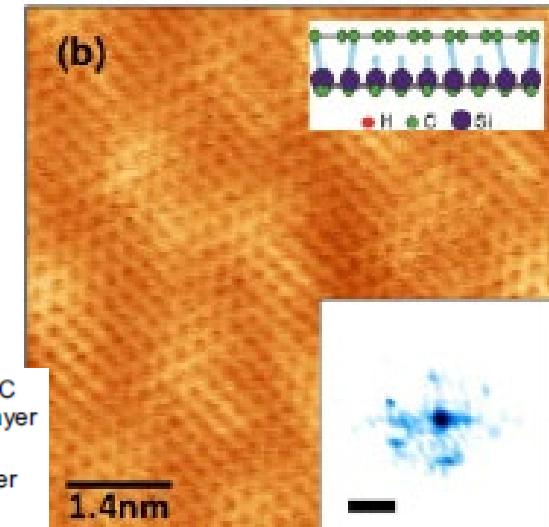
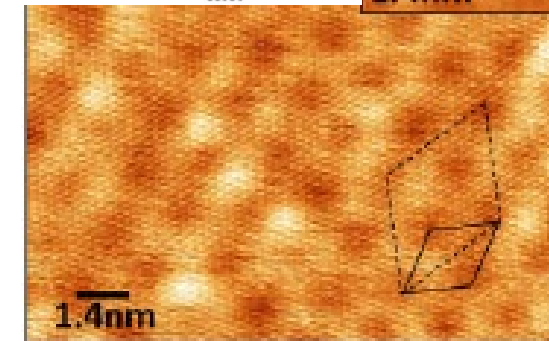
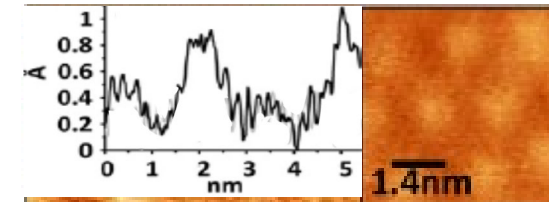
- SiC
- graphene
- visible spots on  $6\sqrt{3}$  grid
- visible spots on  $(6 \times 6)$  grid
- positions of  $6\sqrt{3}$  grid

C. Riedl et al., J. Phys. D: Appl. Phys. 43 (2010) 374009

C. Riedl et al., PRL 103, 246804 (2009)



- Topmost SiC substrate layer
- Carbon layer
- STM features
- Quasi " $6 \times 6$ " corrugation
- $(6\sqrt{3} \times 6\sqrt{3})R30^\circ$  unit cell

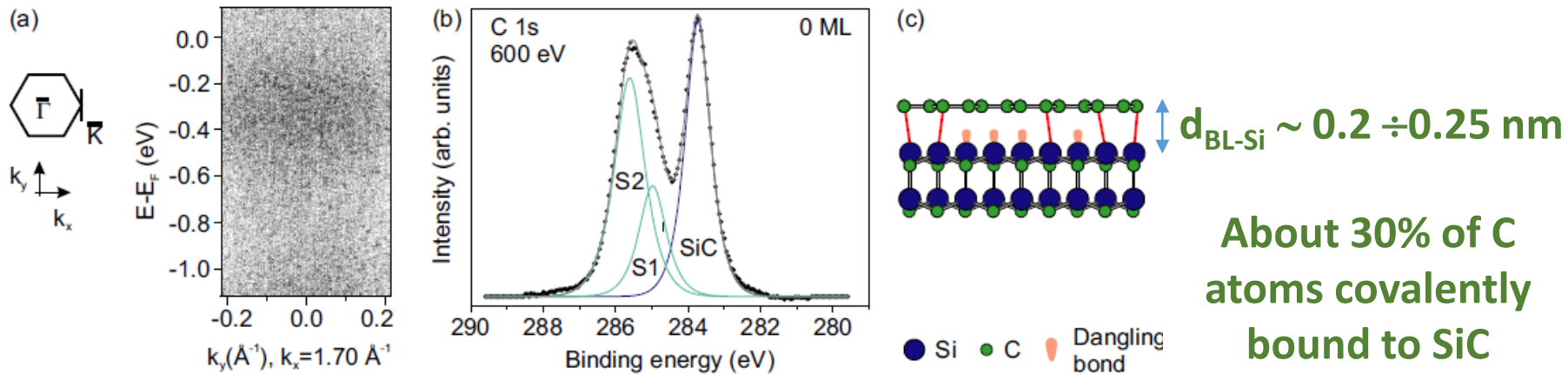


Height corrugation  
~ 0.1 nm

F. Varchon et al., Phys. Rev. B 77, 235412 (2008)

# Si-TERMINATED 4H-SiC(0001) & 6H-SiC(0001)

C. Riedl et al., J. Phys. D: Appl. Phys. 43 (2010) 374009



About 30% of C atoms covalently bound to SiC substrate Si outermost atoms

No Dirac cones measured by ARPES

No Graphene features at 284.6 eV in the C 1s XPS spectrum

Formation of the so-called Buffer or Zero- layer

Upon longer time process



One or more graphene layers obtained by

- further bulk SiC outermost layers Si atoms desorption
- formation of a new buffer layer with consequent raising of the prexistent one as graphene



Graphene stacking keeps the  $30^\circ$  rotation with respect to bulk SiC



# Si-TERMINATED 4H-SiC(0001) & 6H-SiC(0001)

## GRAPHENE DOPING

For 1 ML

$\sim -420$  meV

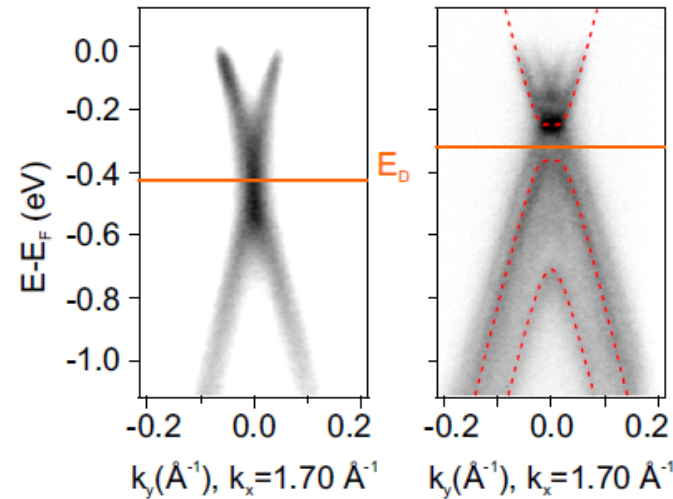
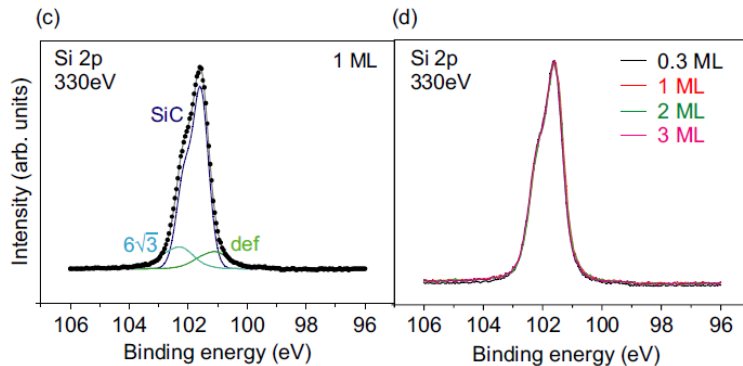
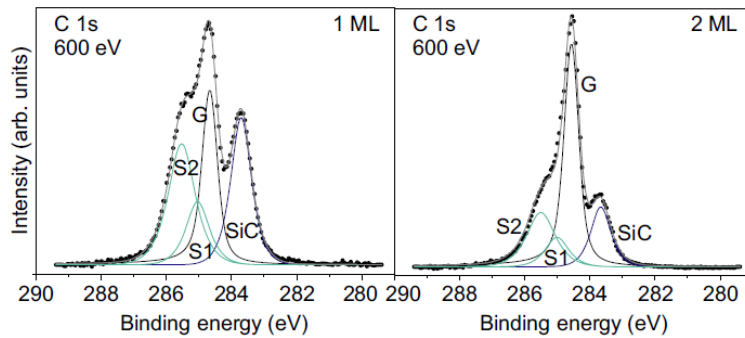
$n \sim 1 \times 10^{13} \text{ cm}^{-2}$

Independent on

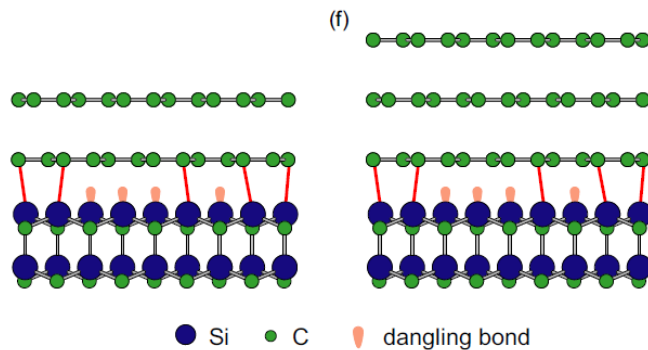
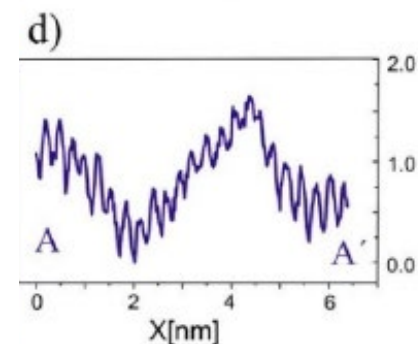
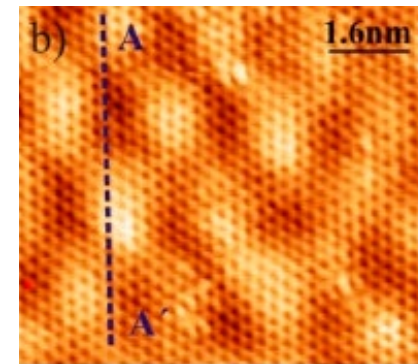
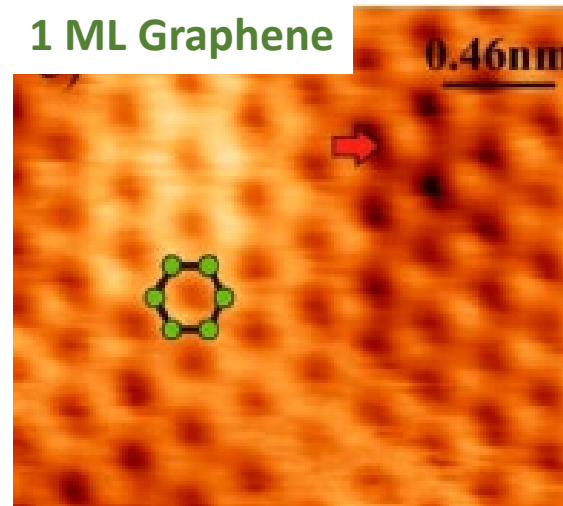
□ preparation  
□ procedure

□ polytype

□ substrate  
□ doping level



1 ML Graphene



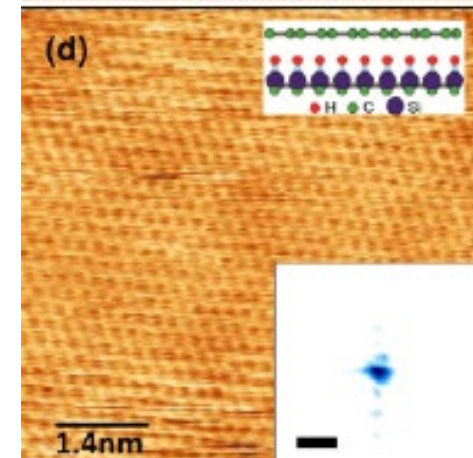
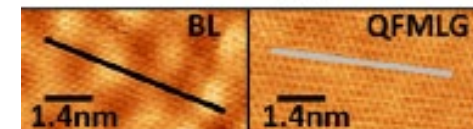
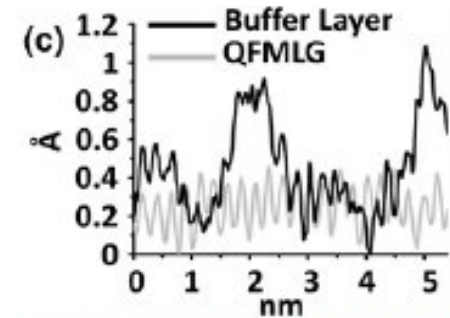
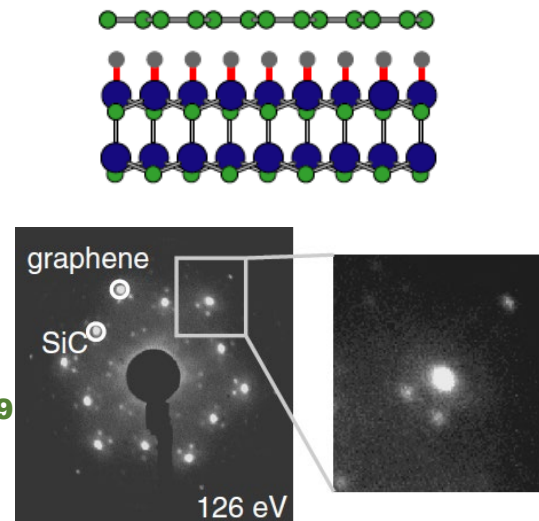
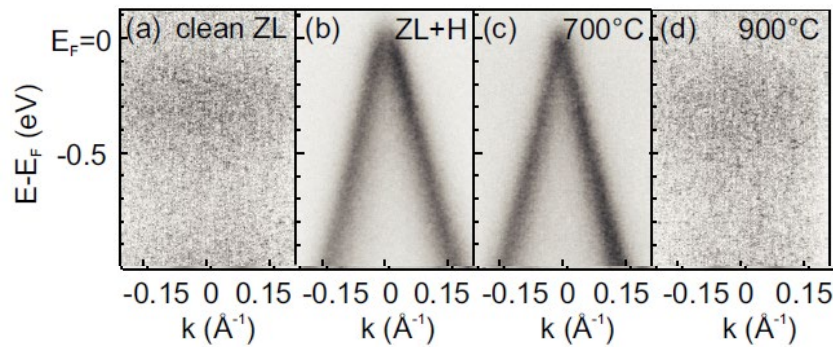
C. Riedl et al., J. Phys. D: Appl. Phys.  
43 (2010) 374009

C. Virojanadara et al., Surf. Sci.  
2009, 603, L87–L90.

# Si-TERMINATED SiC(0001): H INTERCALATION

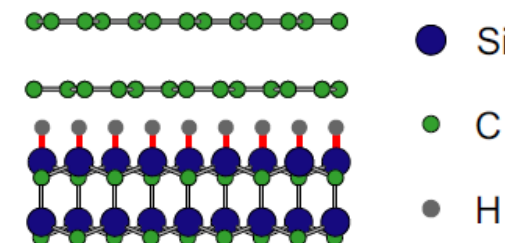
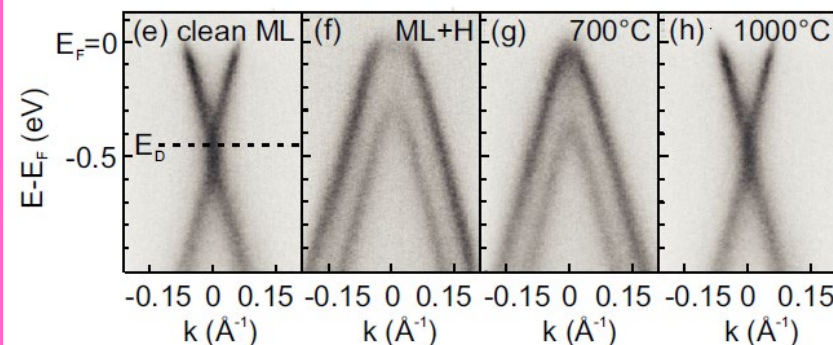
Two routes:

- Annealing of BF or 1ML Gr/SiC(0001) substrate upon  $H_2$  exposure at T between 600 and 1000°C.
- CVD using a hydrocarbon gas (i.e. propane) and the  $H_2$  (or  $H_2$ /Ar mixtures) carrier gas @ T between 1550 and 1650 °C



S. Goler et al., Carbon 51 (2013) 249-254

C. Riedl et al., J. Phys. D: Appl. Phys. 43 (2010) 374009  
C. Riedl et al., PRL 103, 246804 (2009)

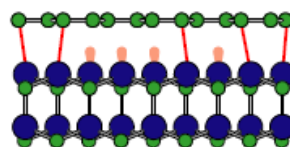




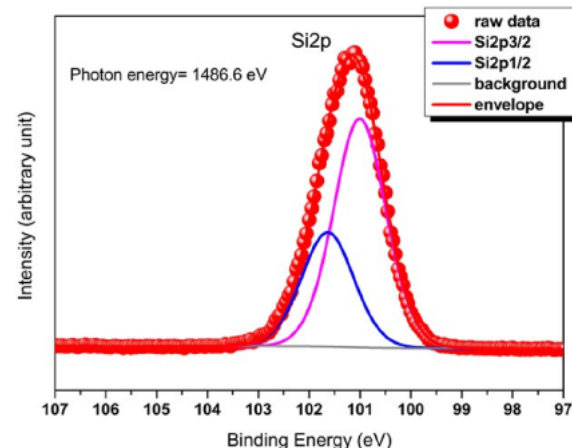
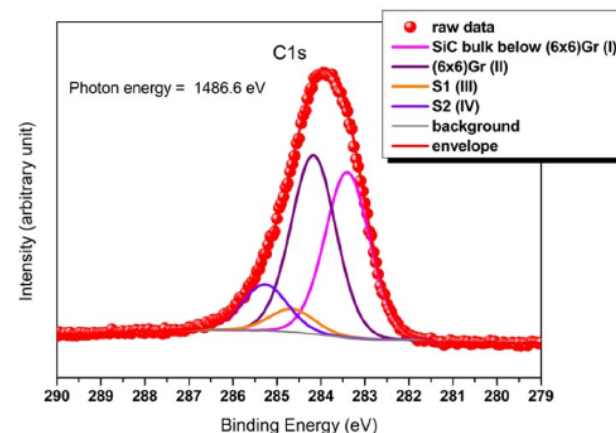
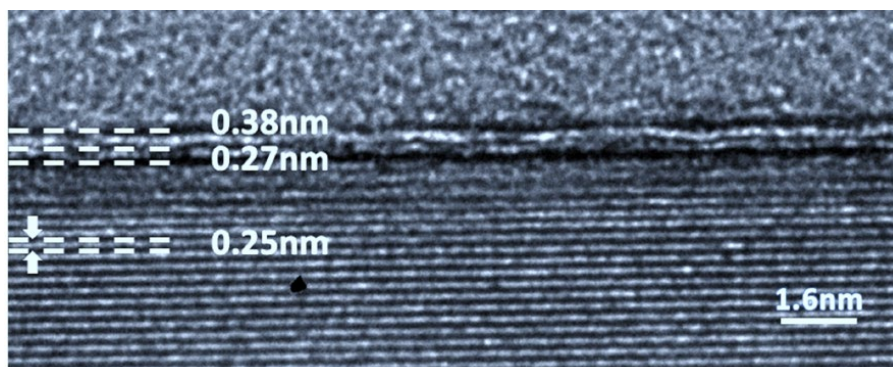
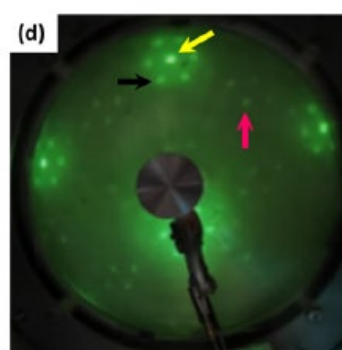
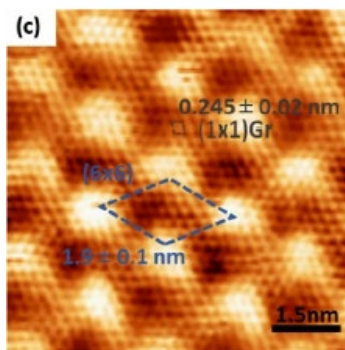
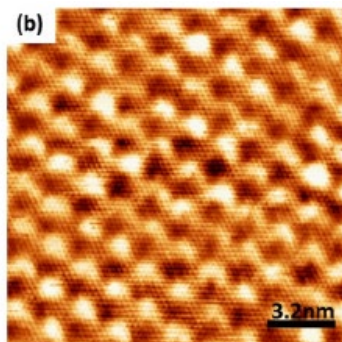
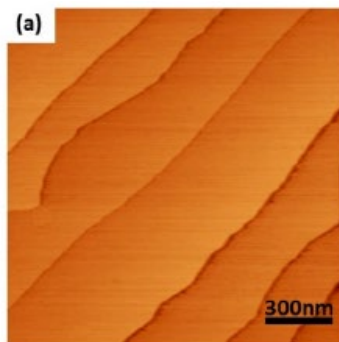
# Si-TERMINATED SiC(0001): H INTERCALATION

CVD using a hydrocarbon gas (i.e. propane) and the H<sub>2</sub> (or H<sub>2</sub>/Ar mixtures) carrier gas @ T between 1550 and 1650 °C (during the T ramp heating/cooling no propane was introduced)

H<sub>2</sub>/Ar = 9 %

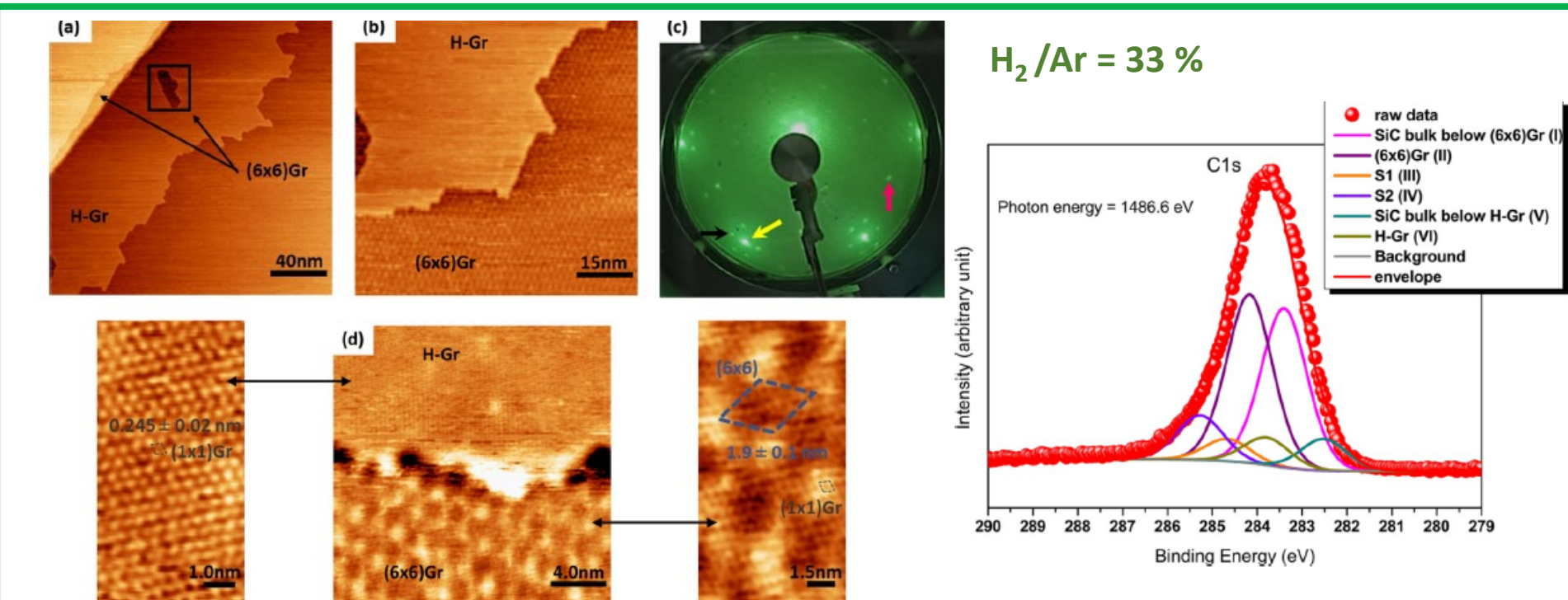
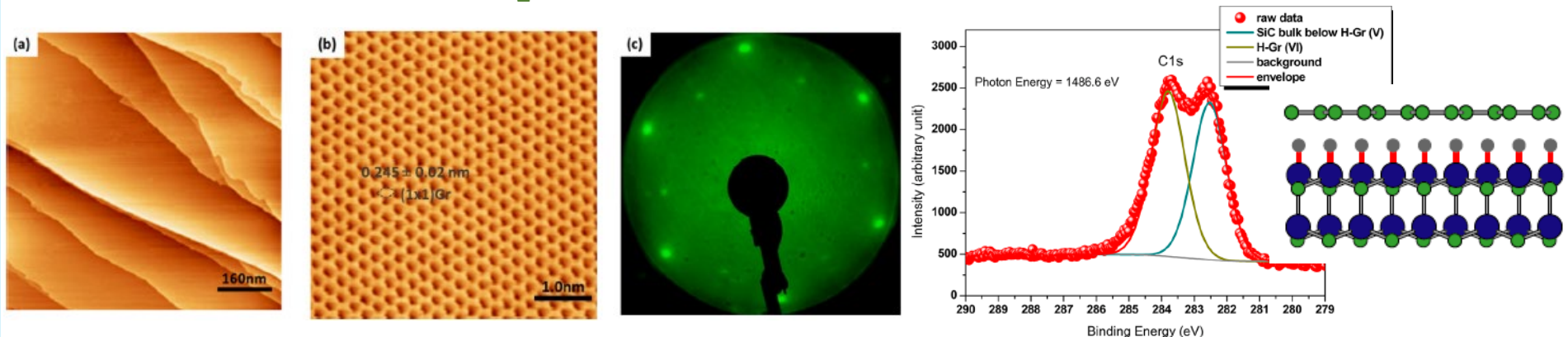


● Si ● C ◑ Dangling bond



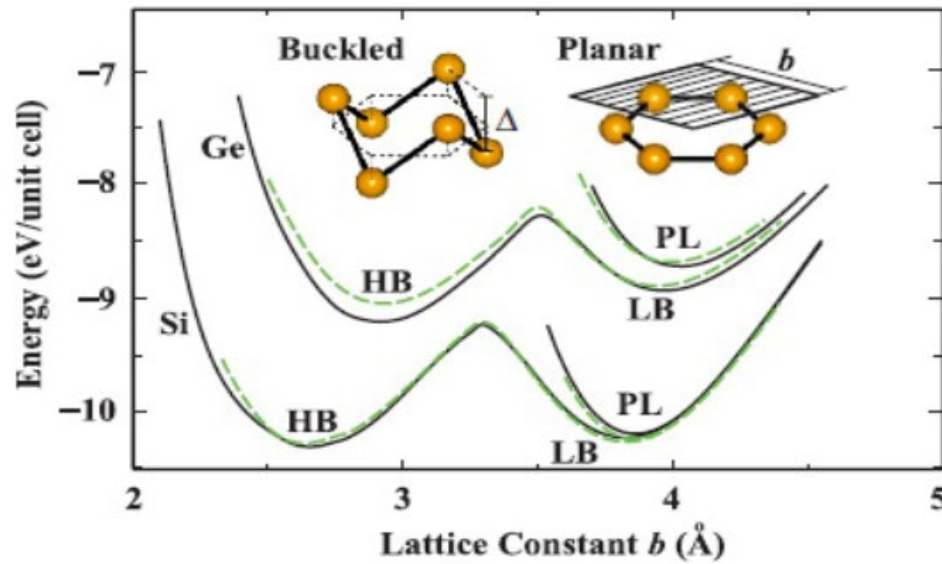
# Si-TERMINATED SiC(0001): H INTERCALATION

CVD using propane and  $\text{H}_2/\text{Ar} = 42\%$  1 ML Graphene

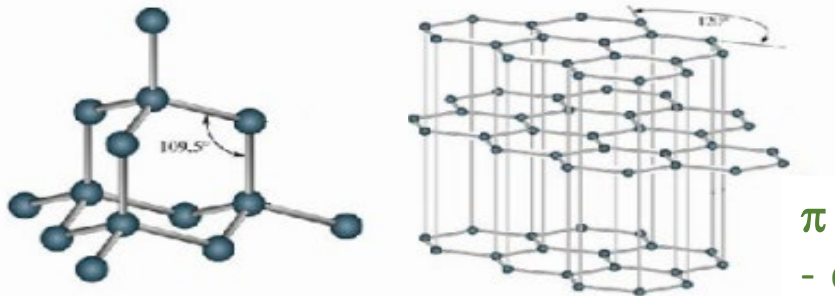




# FREE-STANDING SILICENE THEORETICAL PREDICTIONS



S. Cahangirov, et al., *Physical Review Letters* 102, 236804 (2009)

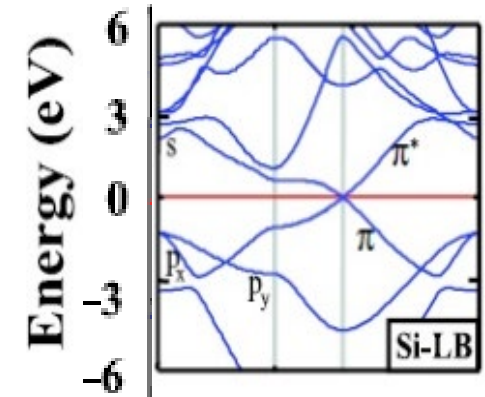
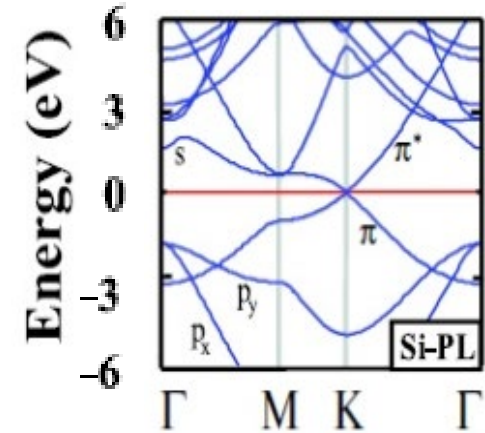


HB: Highly Buckled  $\Delta = 0.21$  nm

LB: Low Buckled  $\Delta = 0.044$  nm

PL: Planar

Mixed  
 $sp^2$  -  $sp^3$



$\pi$  and  $\pi^*$  bands :

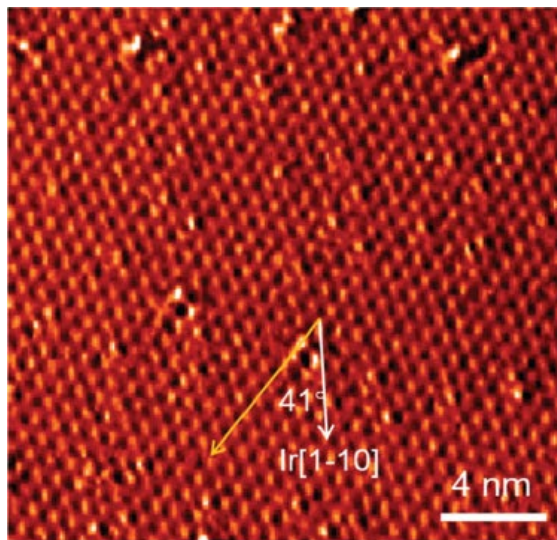
- cross at K and K' points at  $E_F \rightarrow$  semimetallic
- are linear close to K and K' point
- massless Dirac fermion character to charge carriers



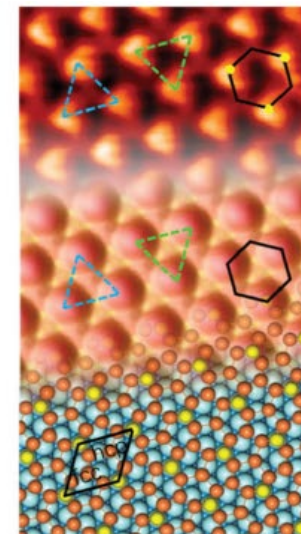
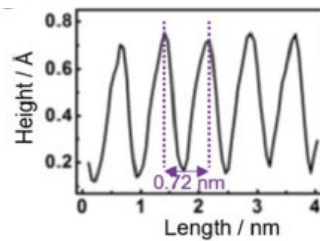
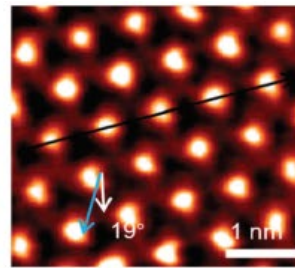
# SILICENE on Ir(111)

L. Meng et al., Nano Lett. 2013, 13, 685–690

Si: DEPOSITION (UNKNOWN AMOUNT) @ RT + ANNEALING @ 400 °C FOR 30 '



$\sqrt{7} \times \sqrt{7}$



DFT : Si atoms

- 2 different heights
- located on top (yellow), hollow (fcc and hcp) and bridge Ir sites
- strongly bonded and hybridized to Ir

XPS and LEED study vs Si: DEPOSITED (0.1-1.5 ML) & GROWTH AND ANNEALING T:

- Si  $\leq 0.5$  ML Si prevalently absorb at hollow Ir sites and Si penetrates Ir first layer. LEED shows  $\sqrt{19} \times \sqrt{19}$  R 23.4°
- Si  $> 0.5$  up to 1.5 ML Si, LEED exhibits  $\sqrt{19} \times \sqrt{19}$  R 23.4° + a shorter range order. 2x2 increasing in intensity with Si amount. Si atoms disorder on Ir(111) and the first Ir layers are strongly perturbed whatever depositing Si amount. No observation of  $\sqrt{7} \times \sqrt{7}$ .
- No indication of full Si-Ir alloy, Si complete penetration in Ir substrate probably prevented from the high Ir cohesive energy

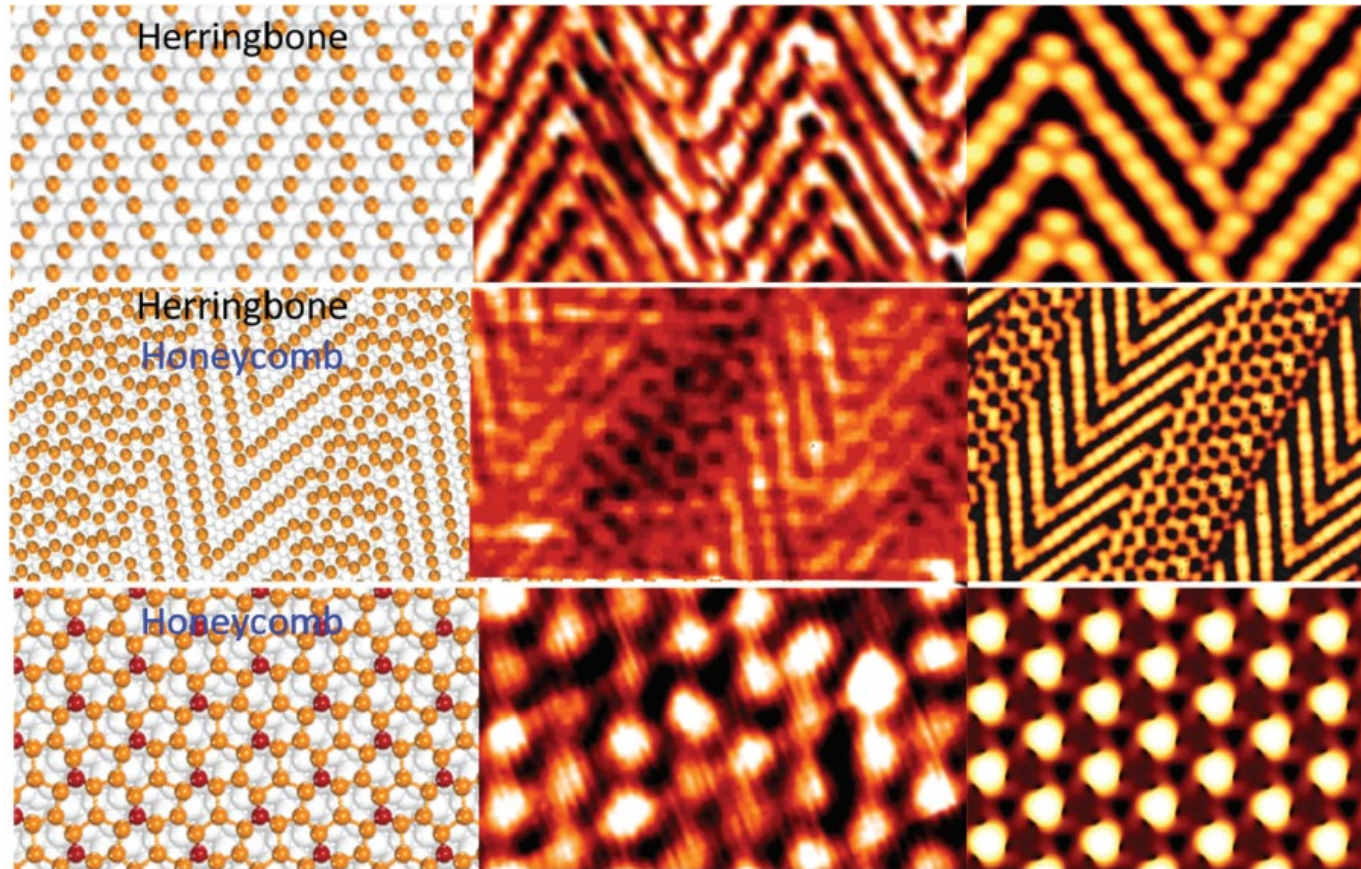
NO SILICENE FORMATION

M. Satta et al., Nanoscale. 2018, 10, 7085-7094



# SILICENE on Ru(0001)

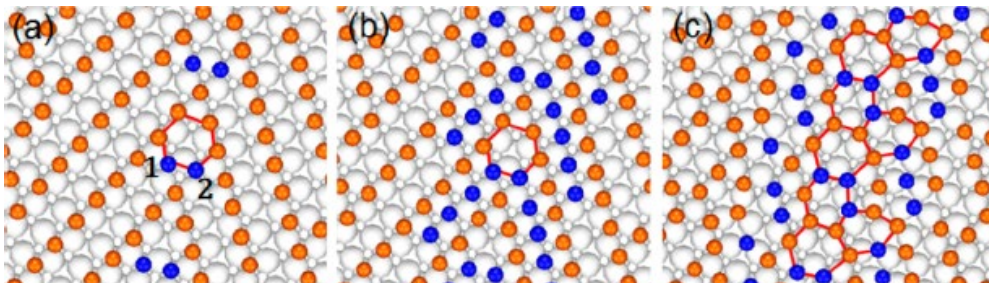
Si DEPOSITION @ RT and ANNEALING @ 500 °C



Low Si coverage  
3-fold hollow sites

Medium coverage  
Honeycomb lattice  
close to the elbow up  
to form Si nanoribbons

High coverage  
Si nanoribbons  
extend to all the  
surface  $\rightarrow \sqrt{7} \times \sqrt{7}$

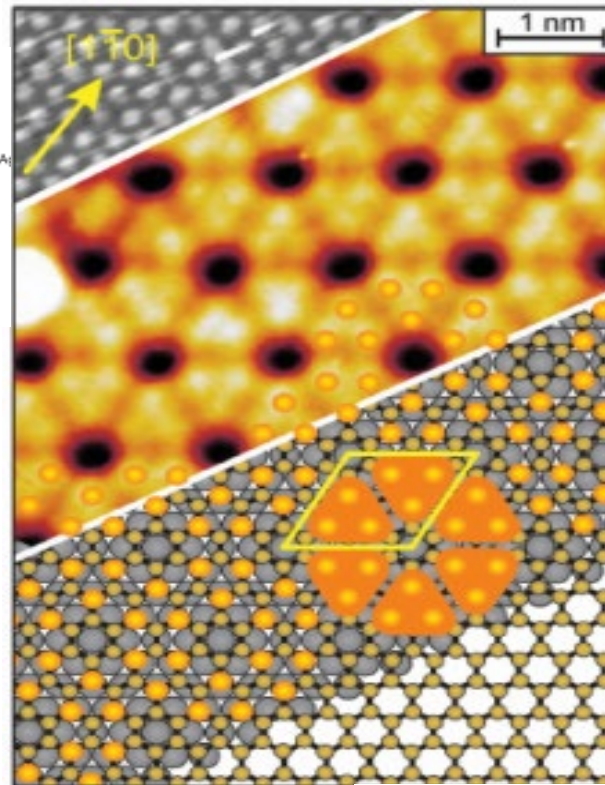
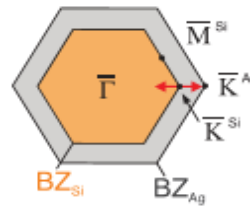
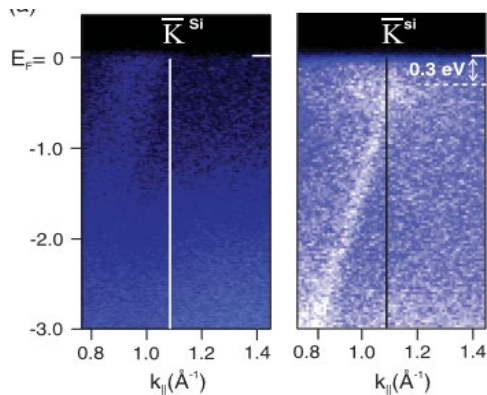


No Dirac cones in the  
calculated band structure  
due to a high charge density  
between Si and Ru

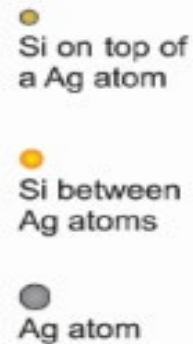


# SILICENE on Ag(111)

Si on AG(111) @ 250 °C



(3x3) Silicene unit cells on (4x4) Ag(111) ones



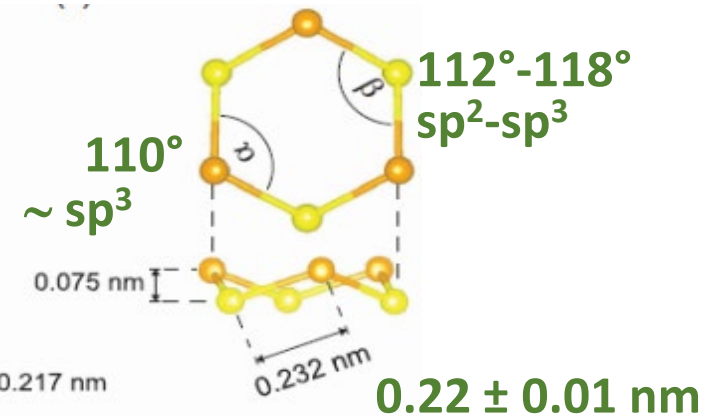
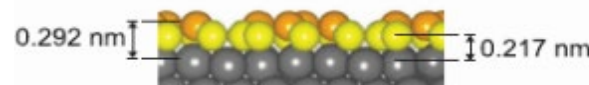
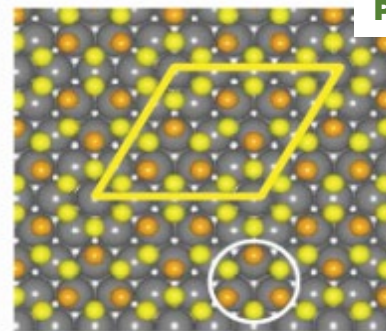
## FORMATION OF SILICENE ?

- Identified to originate from Ag s-p band. Dirac cones do not survive due to the strong interaction with Ag atoms

Lin et al., PRL 110, 076801 (2013)

- 6 pairs of Dirac cones at the edges of the 1° BZ of Ag(111) → originating through the interaction of silicene with Ag which generates a new state

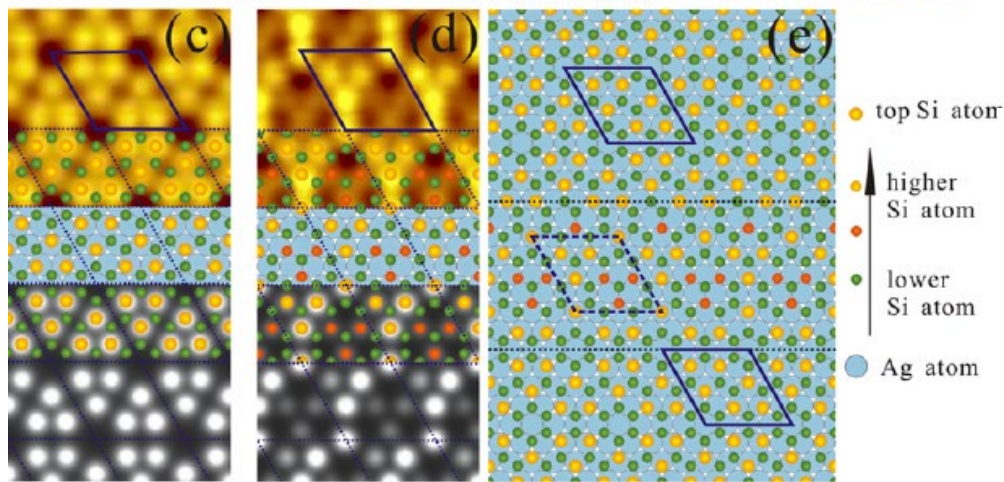
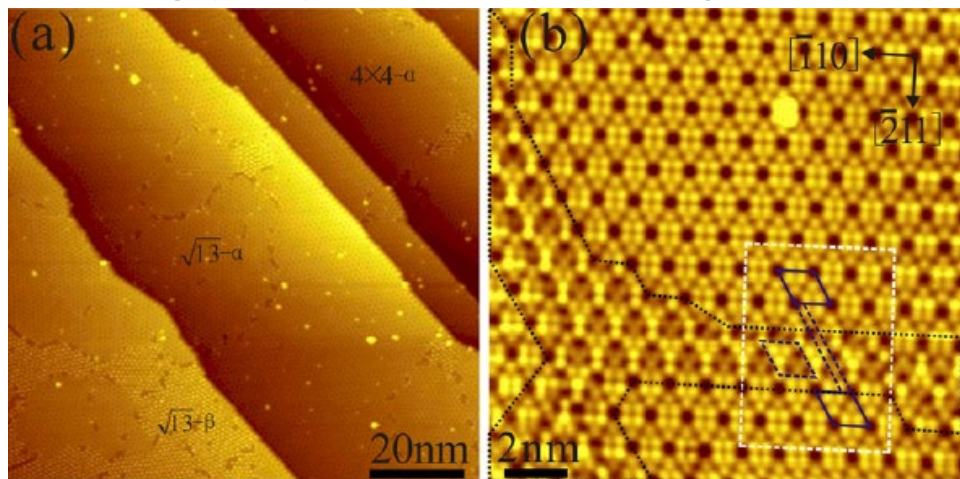
P. Vogt et al., Phys. Rev. Lett. 108, 155501 (2012)



Feng et al., PNAS 113, 14656–14661, 2016

# SILICENE on Ag(111)

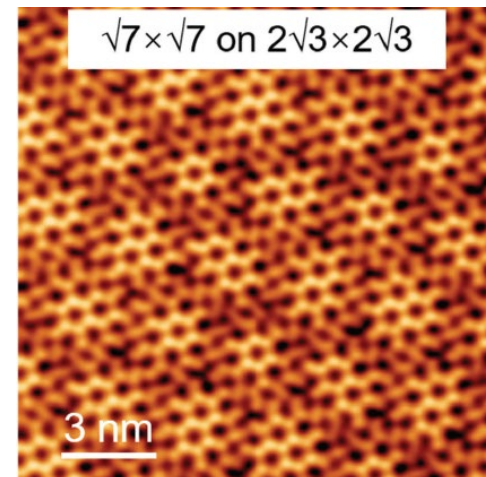
not only (4x4) but also other superstructures!



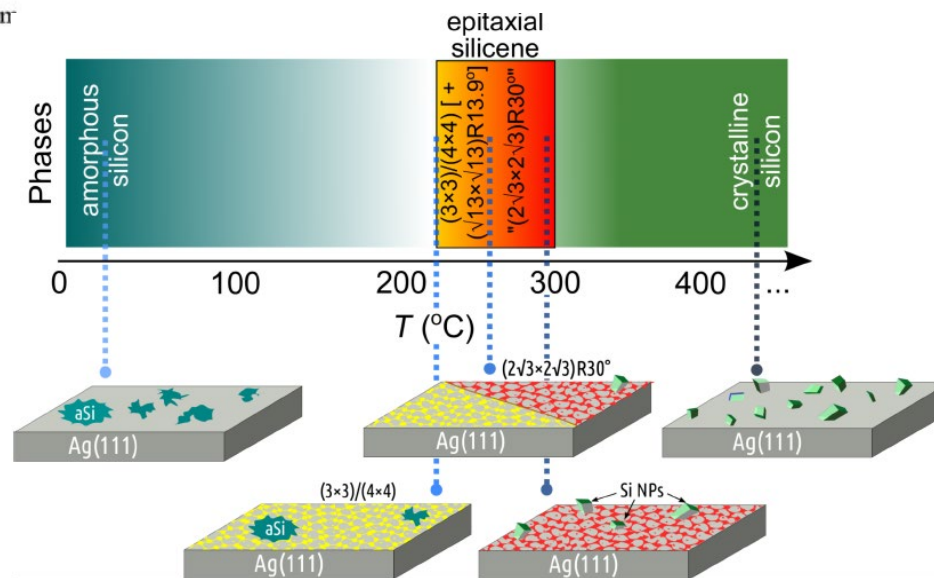
Silicene ( $\sqrt{7} \times \sqrt{7}$ )R  $\pm 19.1^\circ$  lattice cells on ( $\sqrt{13} \times \sqrt{13}$ )R  $\pm 13.9^\circ$  Ag(111) ones

Z.L. Liu et al., New Journal of Physics 16 (2014) 075006

B. J. Feng et al., Nano Lett., 2012, 12, 3507–3511



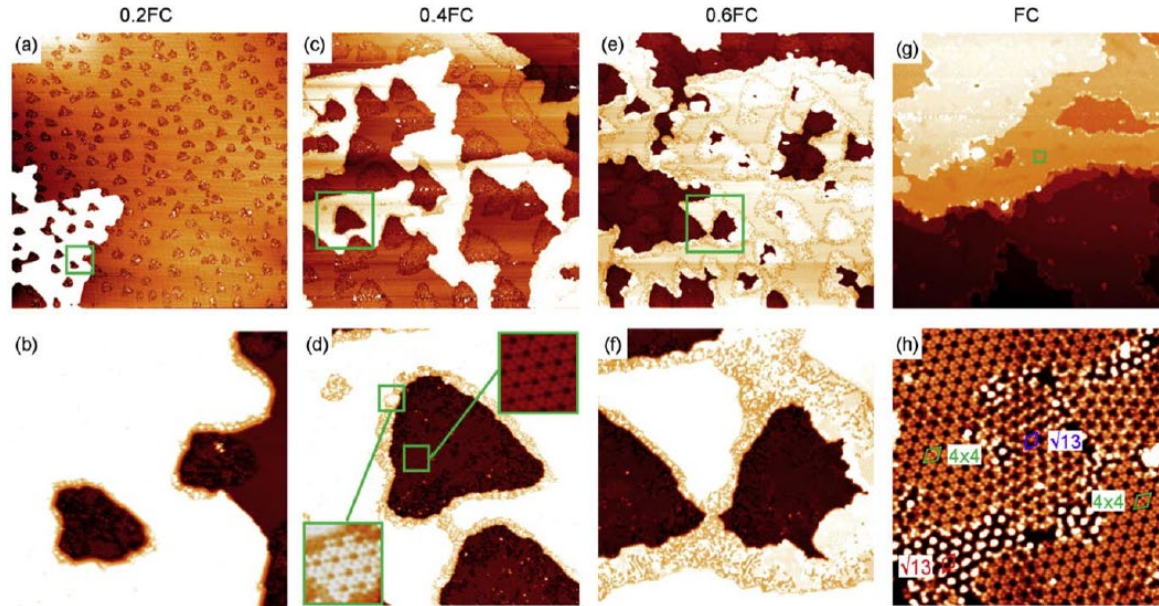
For silicene > 1 ML



D. Solonenko et al., Beilstein J. Nanotechnol. 2017, 8, 1357–1365.

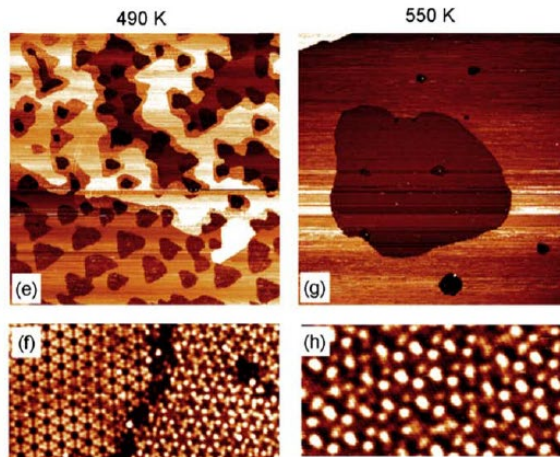


# SILICENE on Ag(111), are we sure?



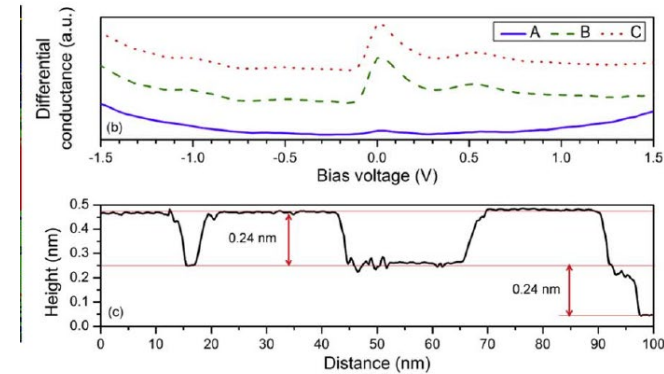
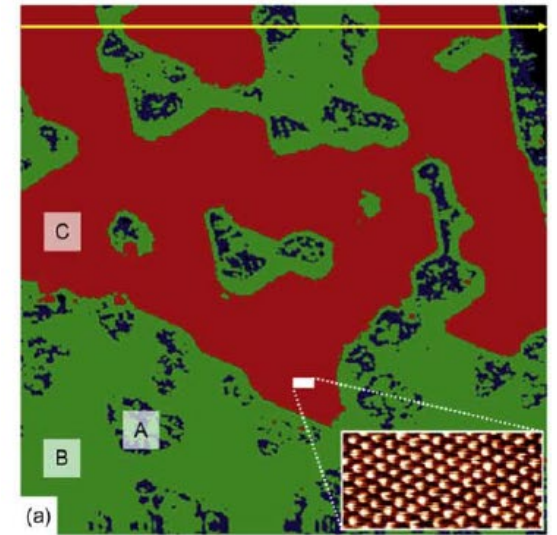
**Figure 10.** Constant current STM images ( $V_S = +2$  V,  $I_T = 2$  nA,  $T = 80$  K) of Si/Ag(111) samples grown at  $T = 490$  K as a function of increasing silicon coverage. (a) 0.2 FC,  $500 \times 500$  nm<sup>2</sup>. (b) 0.2 FC,  $50 \times 50$  nm<sup>2</sup>. (c) 0.4 FC,  $500 \times 500$  nm<sup>2</sup>. (d) 0.4 FC,  $100 \times 100$  nm<sup>2</sup>. (e) 0.6 FC,  $500 \times 500$  nm<sup>2</sup>. (f) 0.6 FC,  $100 \times 100$  nm<sup>2</sup>. (g) FC,  $500 \times 500$  nm<sup>2</sup>. (h) FC,  $20 \times 20$  nm<sup>2</sup>.

Higher diffusivity of Ag atoms at 250°C → accumulation at terraces edge and no new terrace formation

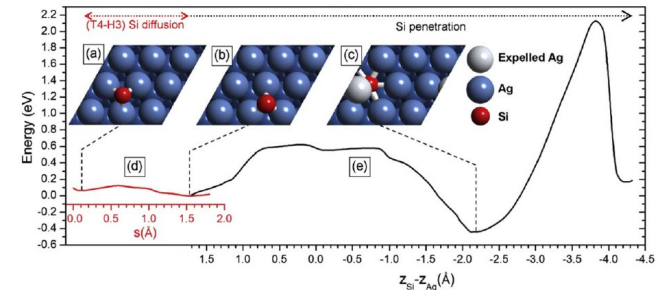


$(\sqrt{13} \times \sqrt{13})R13.9^\circ, 4 \times 4$   $(\sqrt{3} \times \sqrt{3})$

0.25 Full Coverage

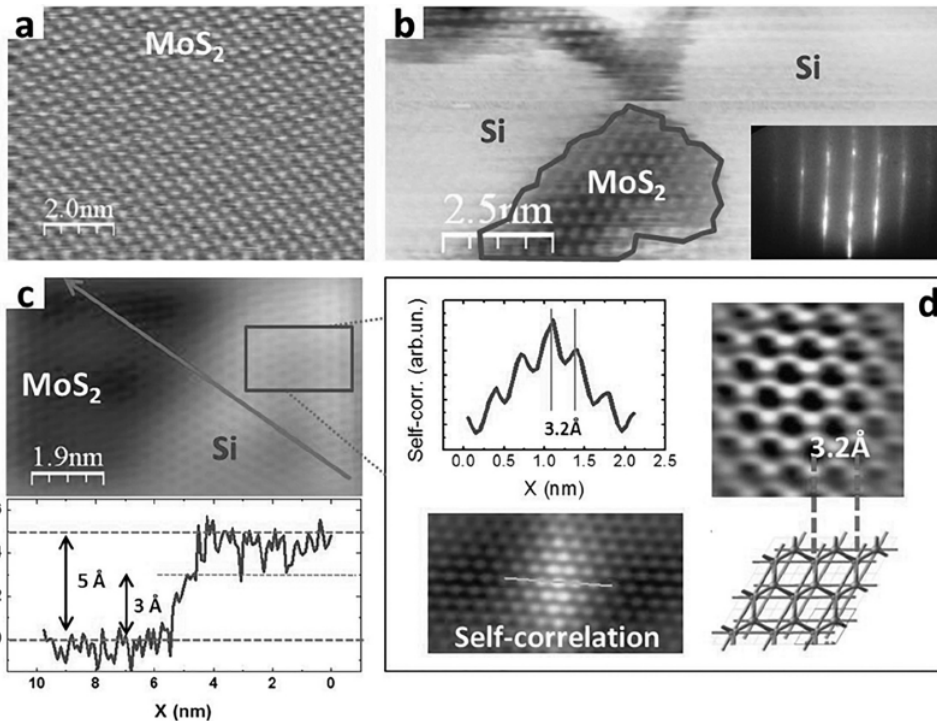


ENERGY RELEASED OF THE Si ADATOM ABSORPTION PROCESS



# SILICENE on NON-METALLIC & NON INTERACTING SUBSTRATE: LAYERED HEXAGONAL MoS<sub>2</sub>

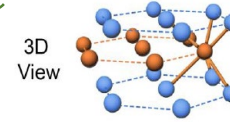
MBE growth @ 200°C



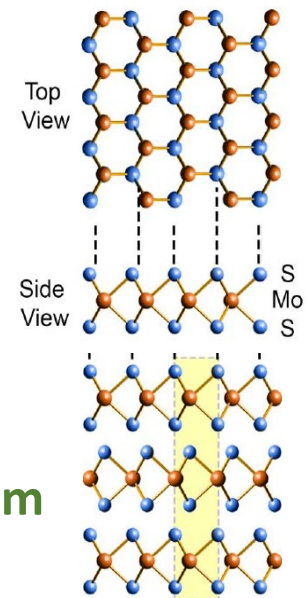
DFT calculations gave a high buckled silicene 0.2 nm, due to silicene lattice shrinking induced by the high lattice mismatch

Chiappe et al., Adv. Mater. 26, 2096 (2014).

$$\frac{\Delta a}{a} \cong 20\%$$



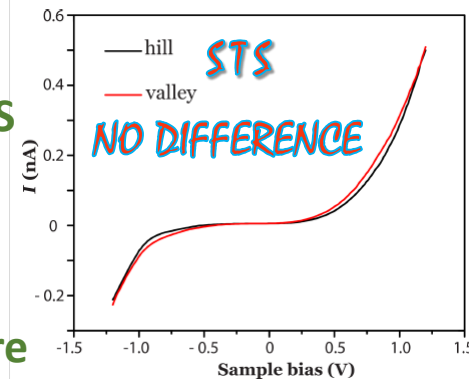
MoS<sub>2</sub> lattice parameter: 0.316 nm



Si atoms intercalation under the outermost MoS<sub>2</sub> layer, probably close to MoS<sub>2</sub> defects, vacancies and step edges

XPS analysis:

- no interaction between Si and S or Mo
- 5% Si resists at the oxidation after air exposure
- Upon sputtering Si increases, S decreases



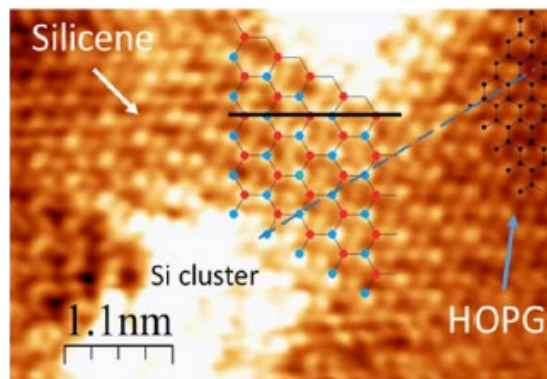
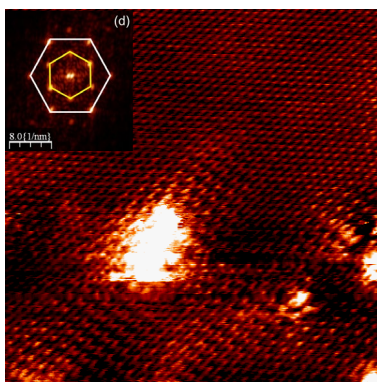
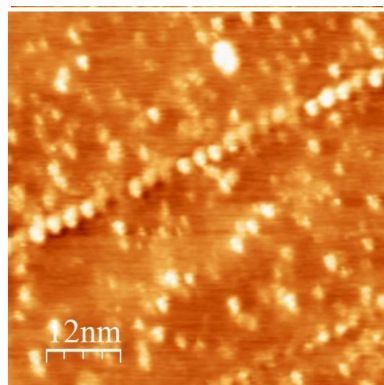
Beilstein J. Nanotechnol. 2017, 8, 1952–1960



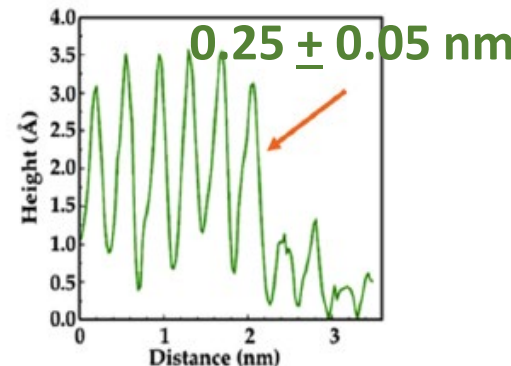
# SILICON on HOPG @ RT

$$\frac{\Delta a}{a} \cong 54 \%$$

Honeycomb,  $a = 0.246 \text{ nm}$



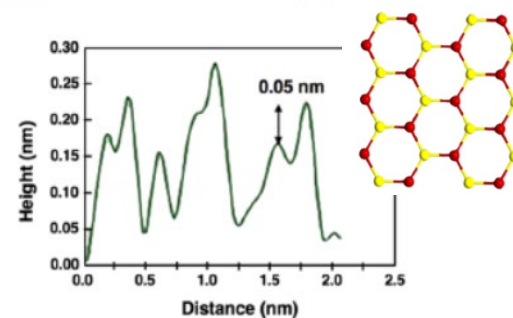
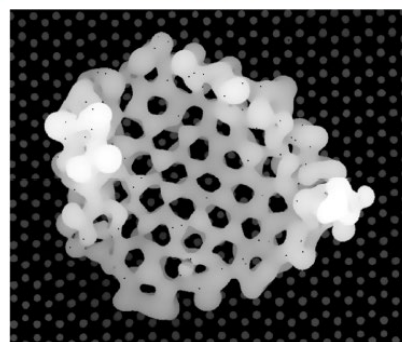
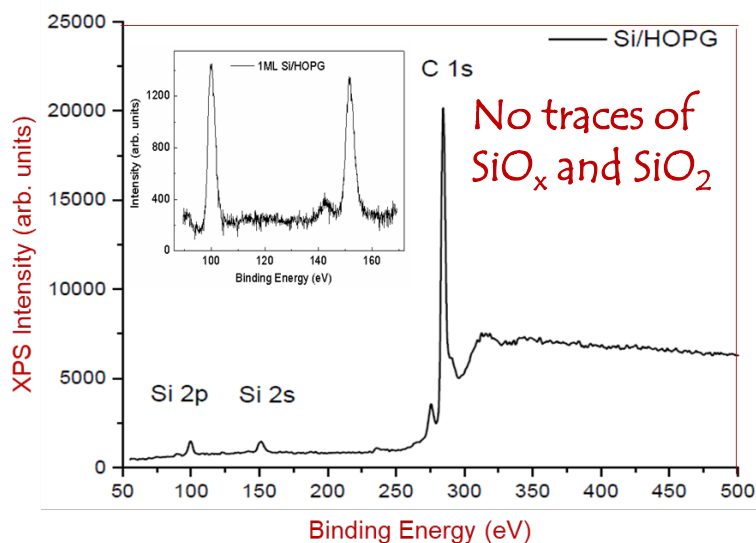
$0.38 \pm 0.03 \text{ nm}$



From 2D-FFT:

$0.41 \pm 0.02 \text{ nm}$  ( $\sqrt{3} \times \sqrt{3}$ )R30°

$0.25 \pm 0.02 \text{ nm}$



Charge modulations resulting from the quantum interferences guide the incoming Si atoms to positions above the graphite substrate that correspond to a template given by those charges

And after they reach a size of few nm, nanoislands will reorganize in 3D clusters

# SILICON on HOPG @ RT

## DFT CALCULATIONS

Silicene R30° with respect to HOPG

Metal character,  $E_g = 48$  meV

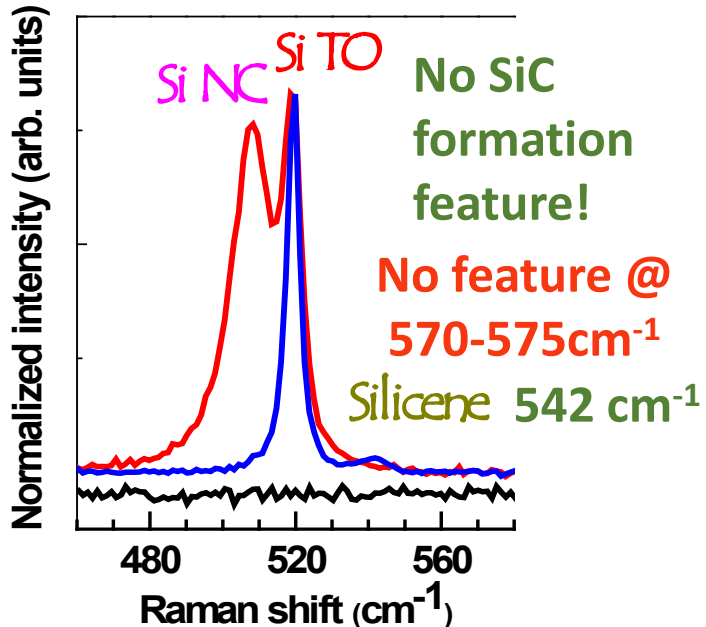
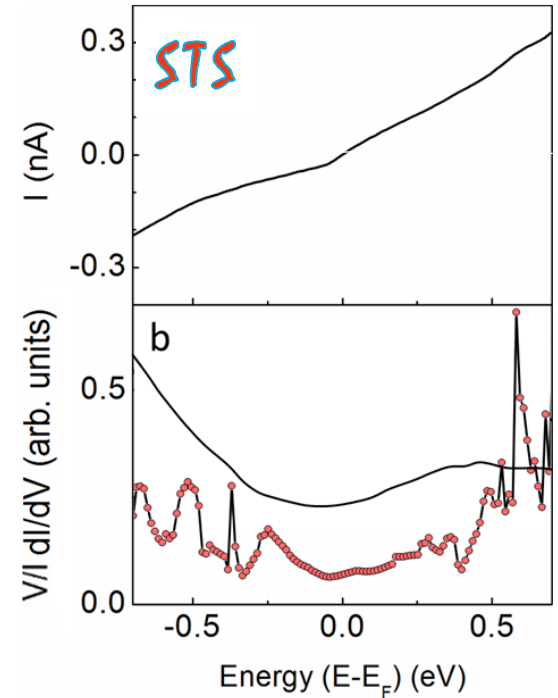
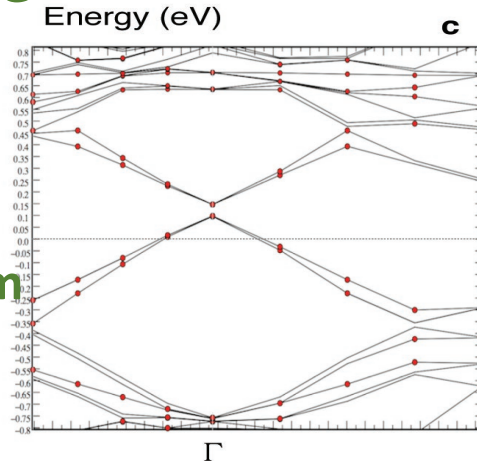
No Si-C bond formation

Buckling : 0.051 nm

Silicene -HOPG distance: 0.333 nm

Lattice parameter : 0.379 nm

DeCrescenzi et al., *ACS Nano* 10,  
11163 (2016)



Calculations indicate that for Silicene Nanosheets with side length of 1.3-1.5 nm

Such Raman shift is reasonable!

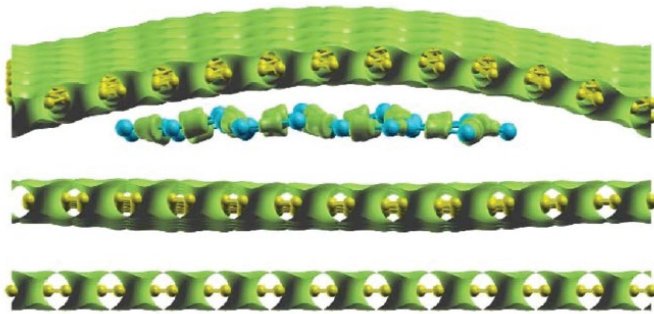
Si-Si distance: 0.228 nm  
due to the removal of periodic constrain with respect to the interface

→  $a_{\text{sil}} = 0.395$  nm

→ Buckling: 0.052-0.053 nm

Castrucci et al. *Nano Res.* (2018), 11(11), 5879-5889

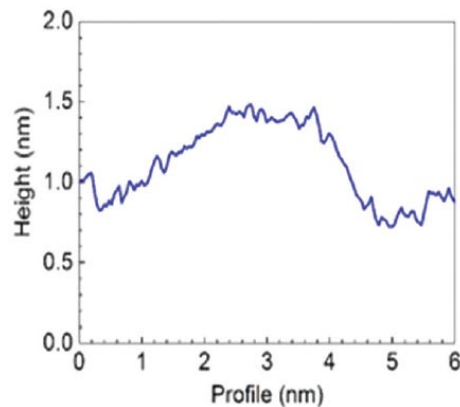




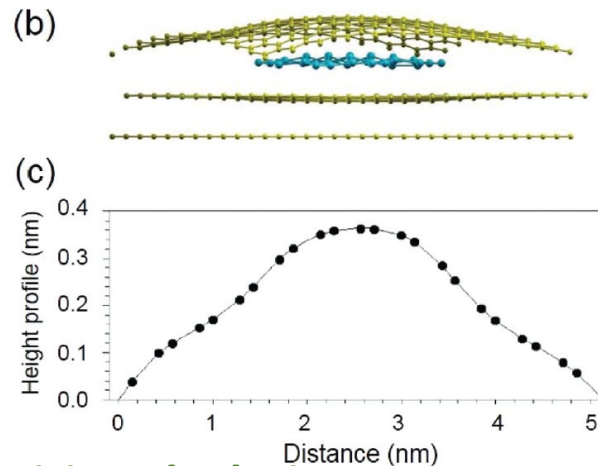
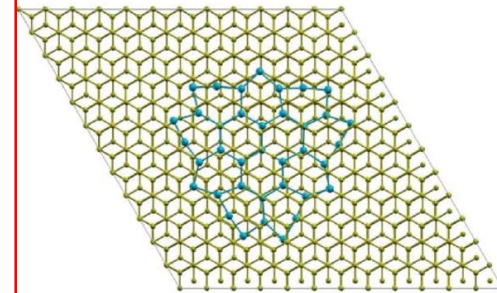
Charge density  
calculations



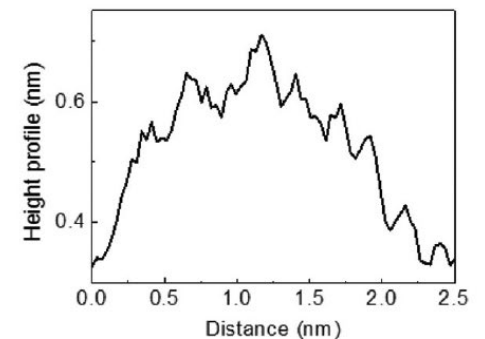
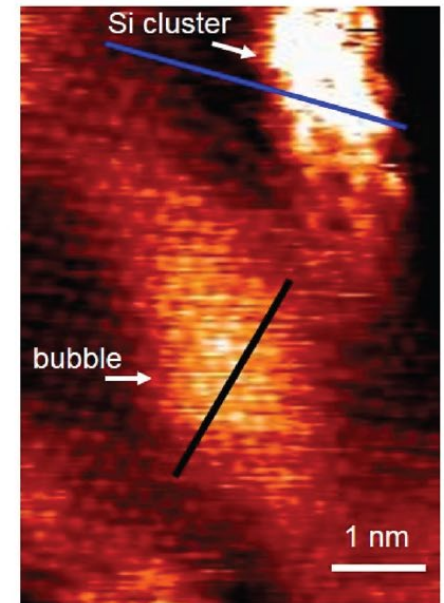
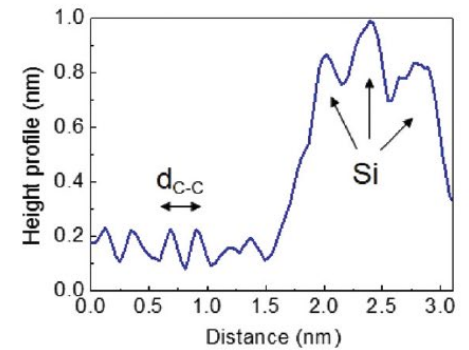
No bonds are present  
between Si and C atoms



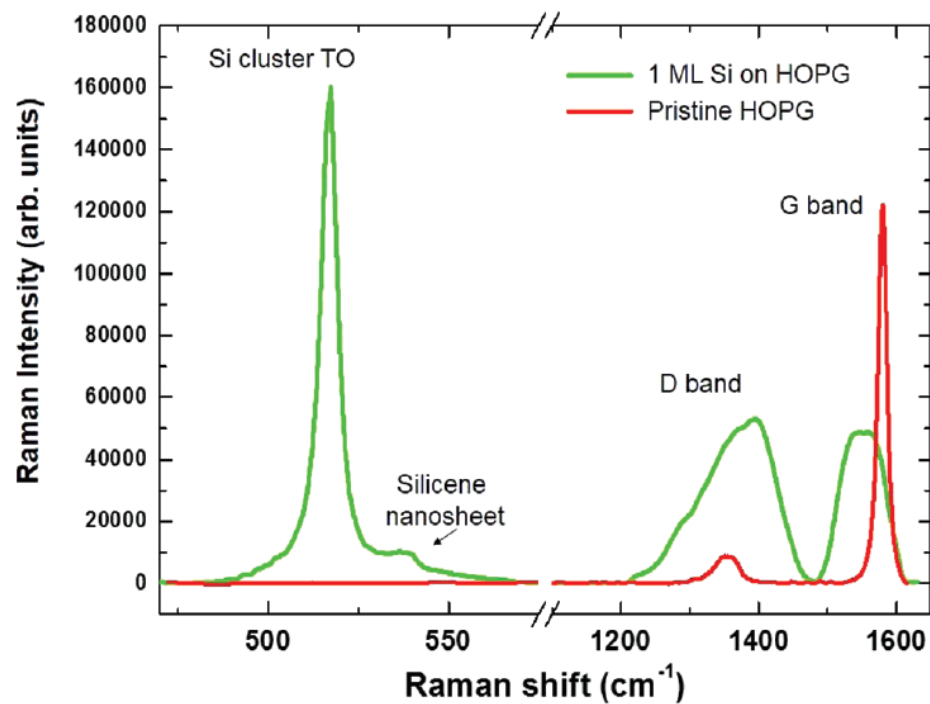
I.Kupchak et al., *Nanoscale*,  
11 (2019) 6145 - 6152



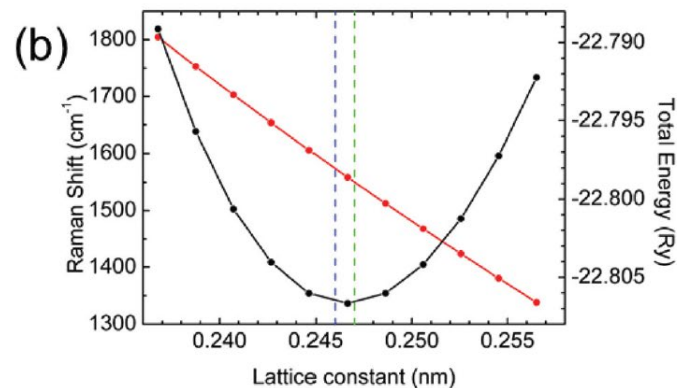
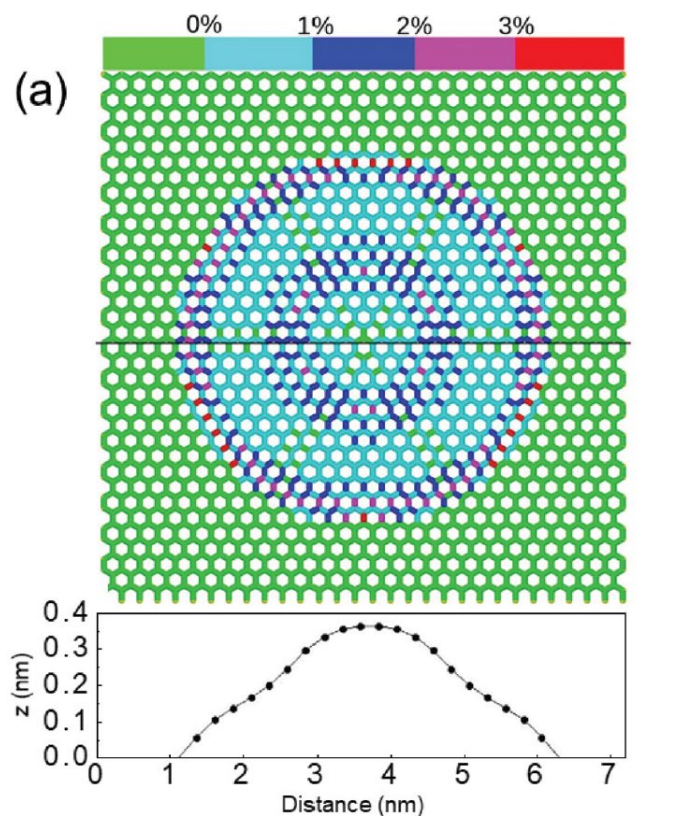
Ab-initio calculations 12x12x3 C slab  
+ 37 Si atoms inserted under the  
outmost carbon layer



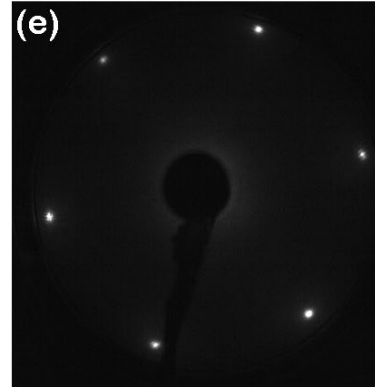
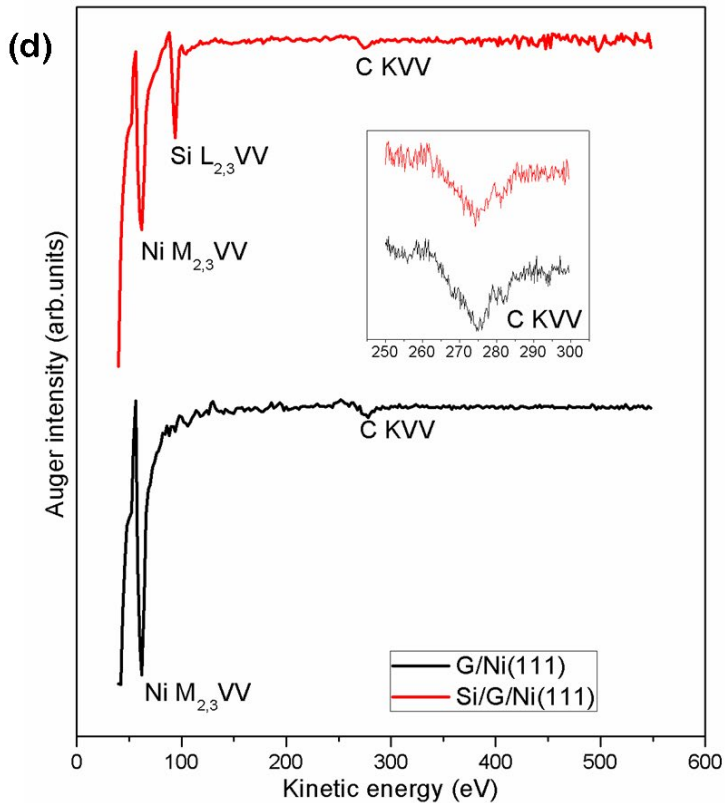
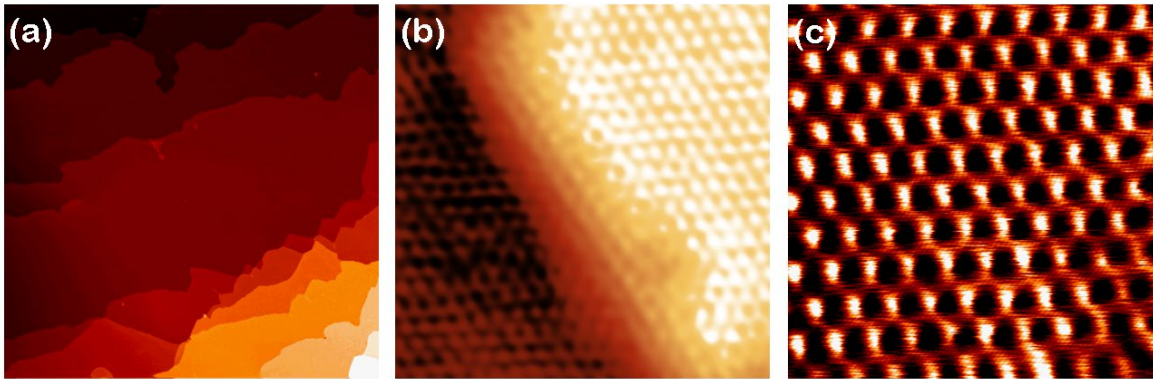
# RAMAN MEASUREMENTS & CALCULATIONS



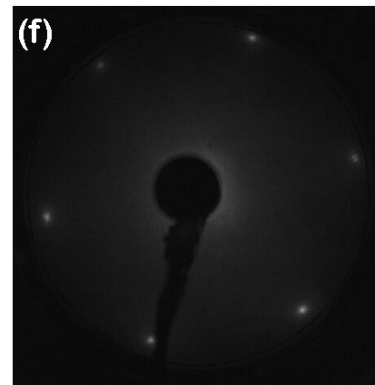
There is strain due to intercalated silicene nanosheets, shifting towards lower wavenumber the graphene G band. Calculations made under density functional perturbation theory.



# Si-GRAPHENE-Ni(111) SYSTEM



Graphene on Ni(111)

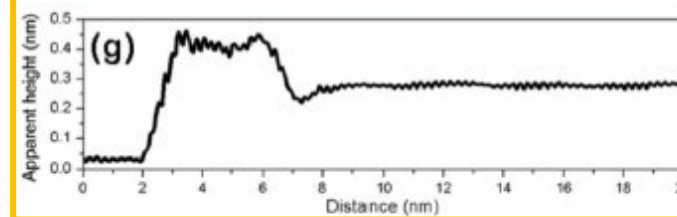
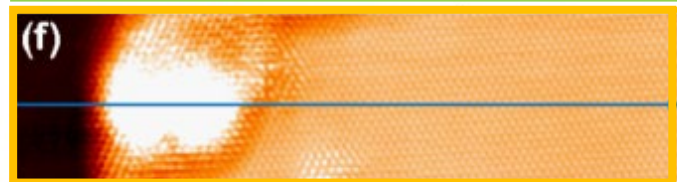
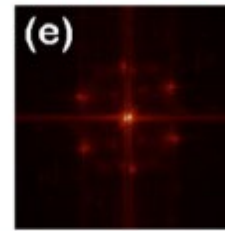
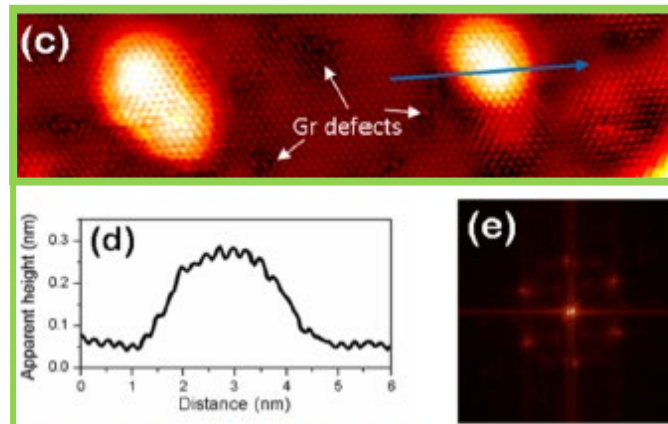
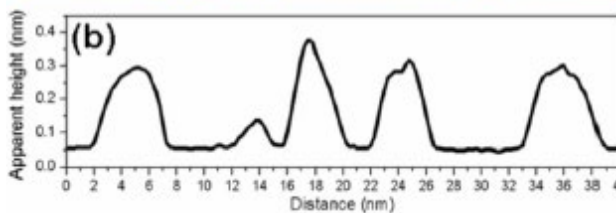
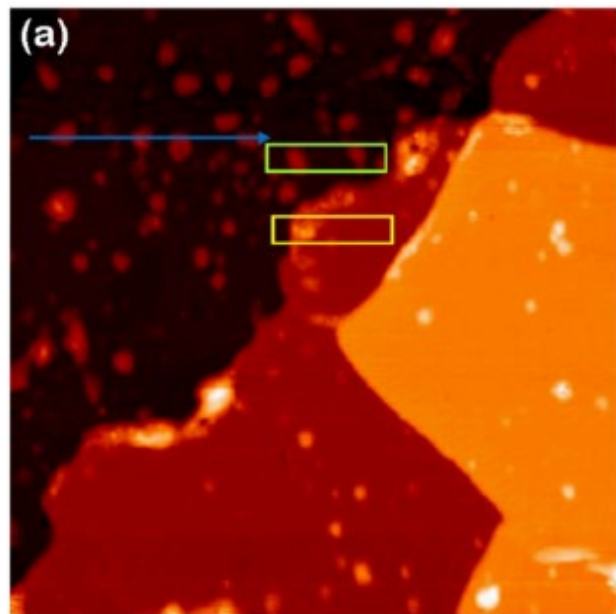


Graphene on Ni(111)  
+ Si deposition at RT



# Bubbles randomly distributed on the Graphene terraces and edges

- ❖ with a height of 0.2-0.3 nm
- ❖ almost flat on top
- ❖ with the graphene atomic periodicity
- ❖ Only graphene lattice spots in the 2D-FFT → disordered atom arrangement underneath



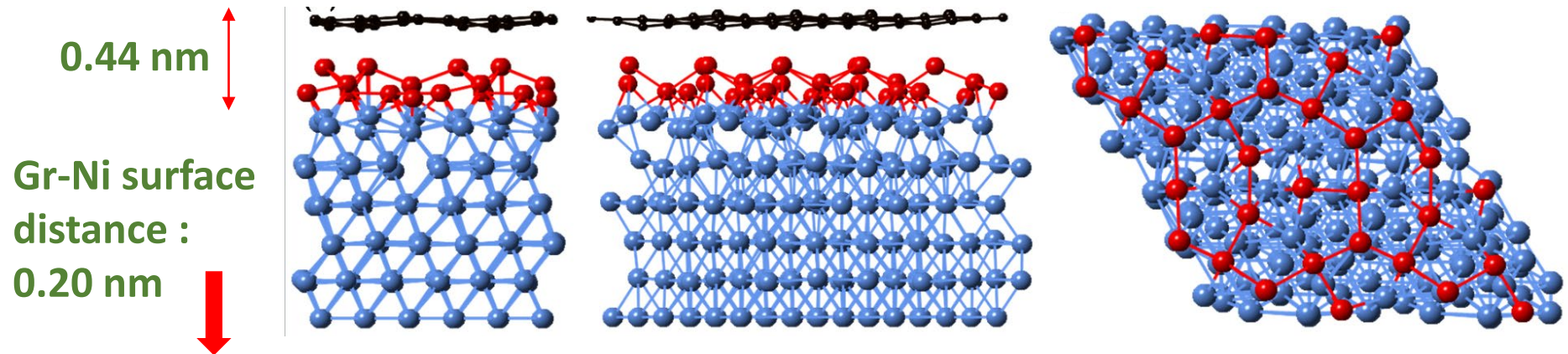
Si intercalation under the graphene layer at RT?

Si intercalation through the graphene layer has been reported for Si deposition or post-growth annealing at  $T > 600\text{K}$

## DOES SI FORM A LOW INTERACTING SILICENE LAYER BETWEEN GR AND NI(111)?

A perfect nickel/silicene/graphene sandwich has been modelled and optimized with *ab initio* molecular dynamics (AIMD) approach :

After 8ps:



Height difference between silicon atom intercalated areas and pure Gr/Ni(111) ones : 0.24 nm

**No intermixing between Si and Ni atoms is observed during this simulation time at 300K.**

In order to observe intermixing (alloy formation), within the small time period of 8 ps, the sample temperature must be increased at least to 475K according to our AIMD simulations.

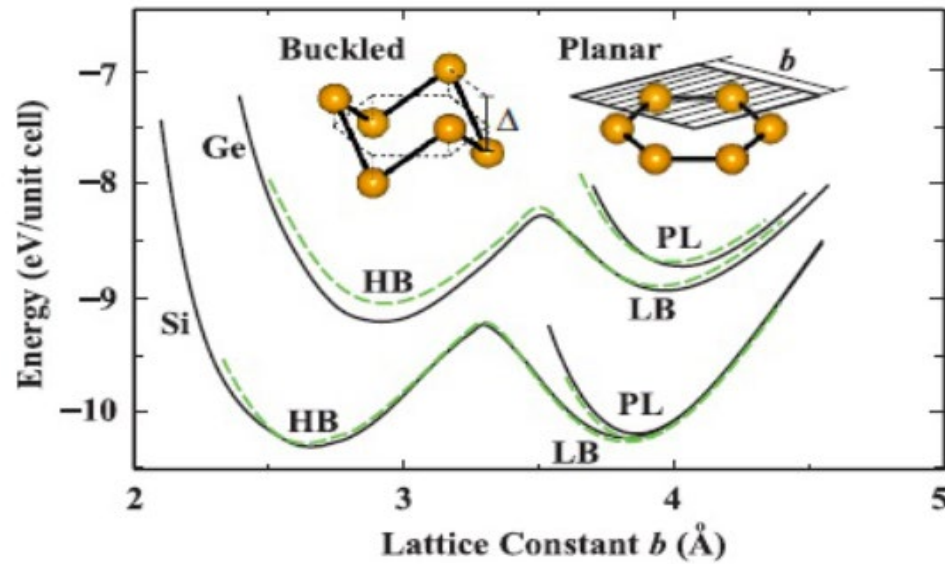
# FREE-STANDING GERMANENE THEORETICAL PREDICTIONS

HB: Highly Buckled  $\Delta = 0.22 \text{ nm}$

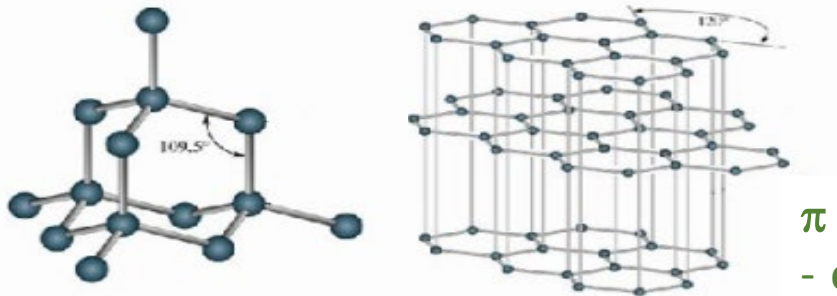
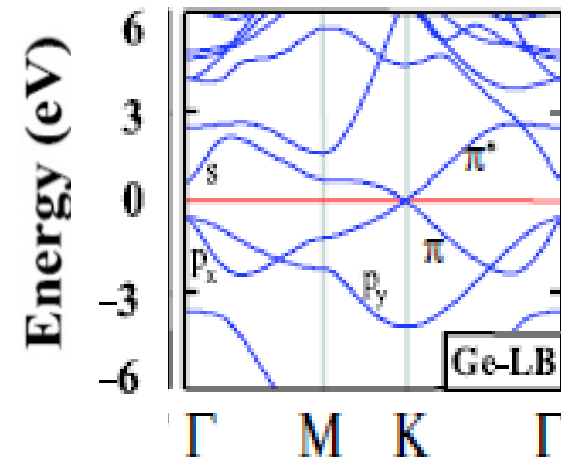
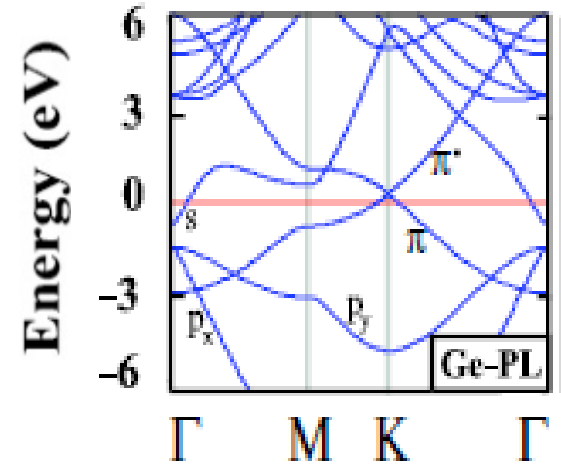
LB: Low Buckled  $\Delta = 0.064 \text{ nm}$

PL: Planar

Mixed  
 $sp^2$ - $sp^3$



S. Cahangirov, et al., *Physical Review Letters* 102, 236804 (2009)

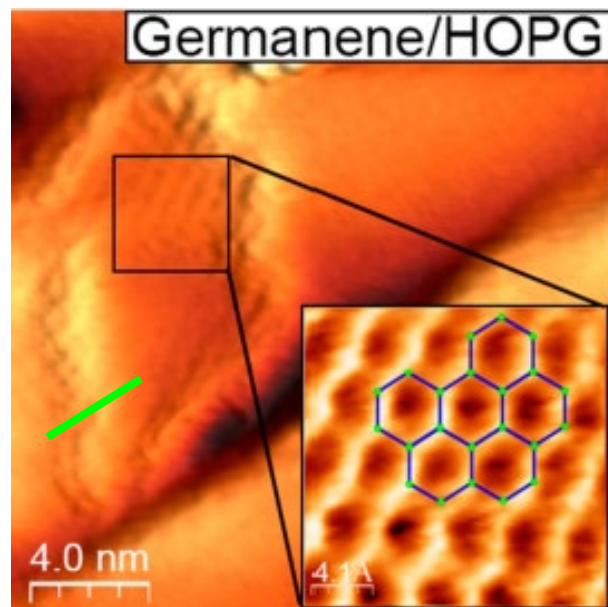


$\pi$  and  $\pi^*$  bands :

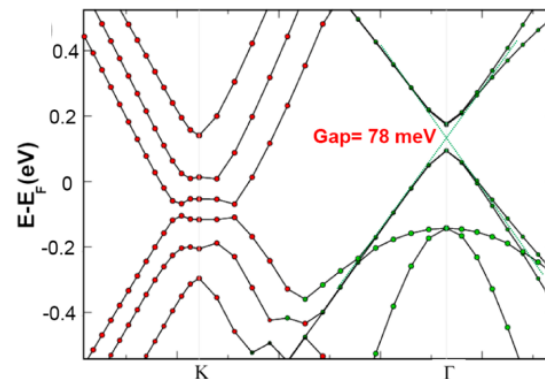
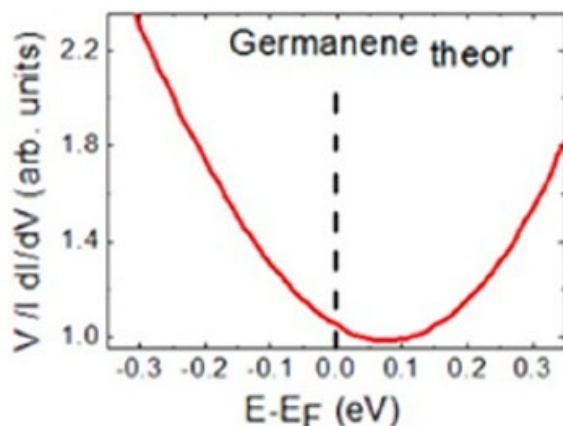
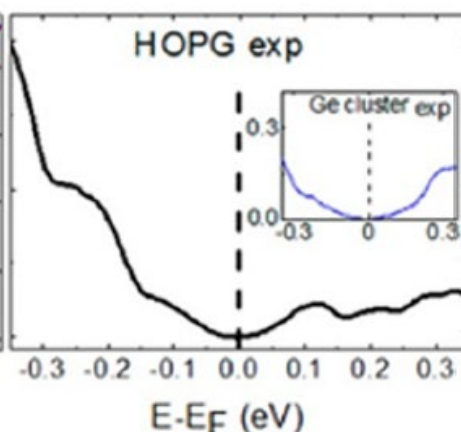
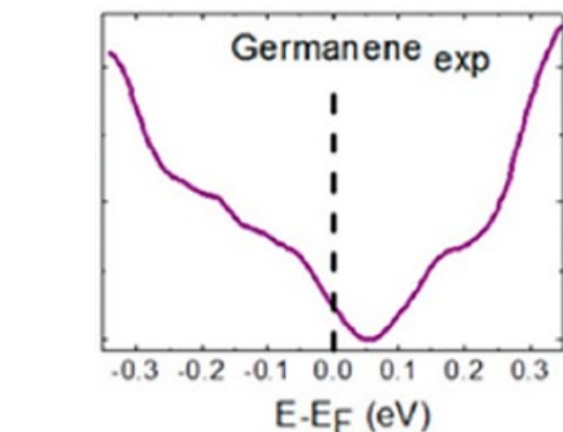
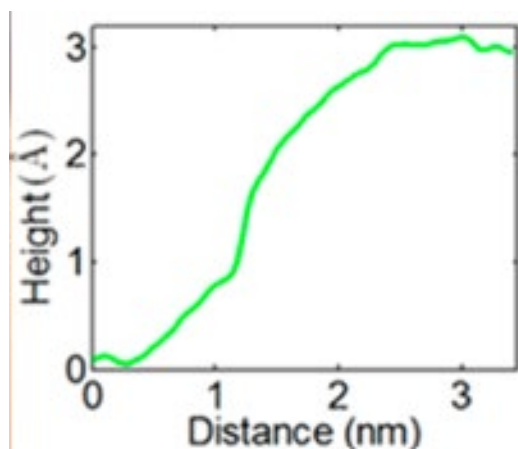
- cross at K and K' points at  $E_F \rightarrow$  semimetallic
- are linear close to K and K' point
- massless Dirac fermion character to charge carriers



# GERMANENE on HOPG

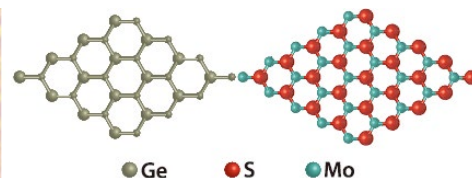
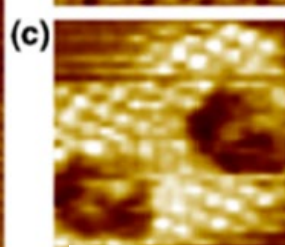
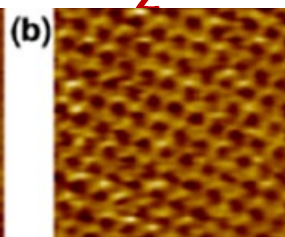
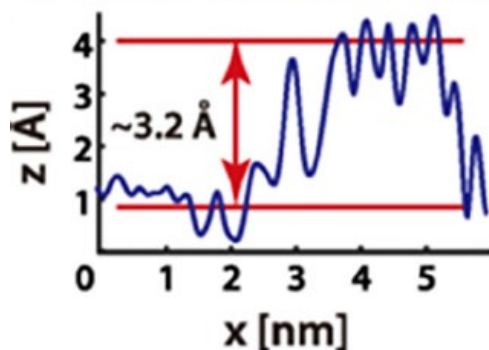
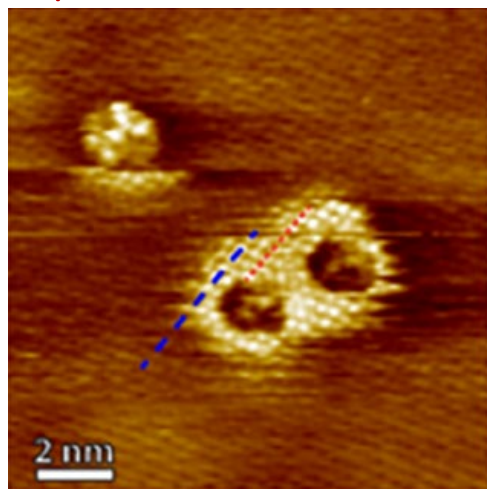


$0.42 \pm 0.03$  nm and 0.07 nm, values that closely match those theoretically predicted for free-standing germanene, that is 0.397 nm and 0.064 nm, respectively

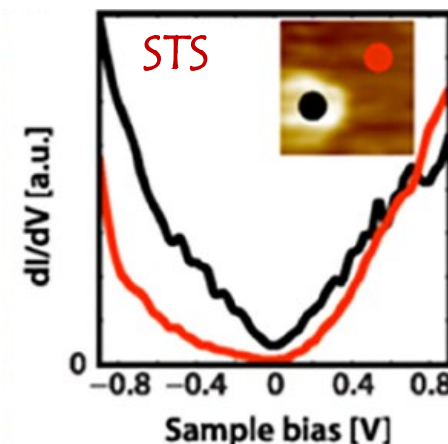
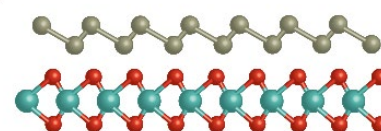


A value compatible  
with Ge-HoPG  
out-of-plane distance

# GERMANENE on MoS<sub>2</sub>



(h)



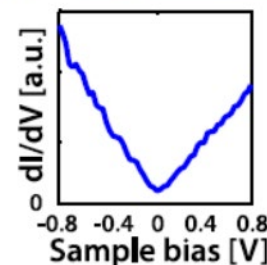
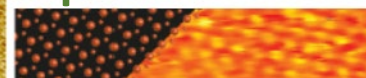
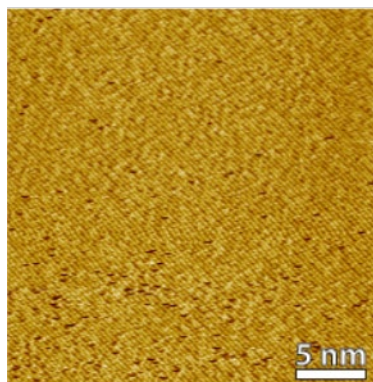
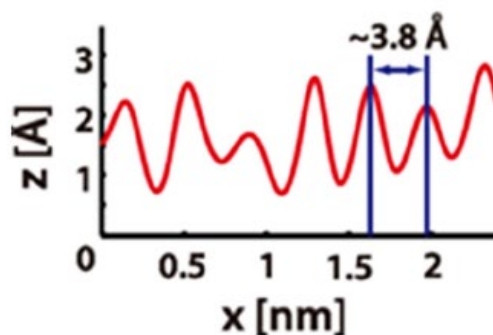
Metal character, no doping

Dirac point at  $E_f$  contrary to the DFT calculations, showing an electron transfer from MoS<sub>2</sub> to Germanene upshifting  $E_f$  of 0.3 eV (n-type).

Experimentally not observed probably because of acceptor impurities or unsaturated defects

At low coverage, different shapes and sizes all showing a hexagonal hole.

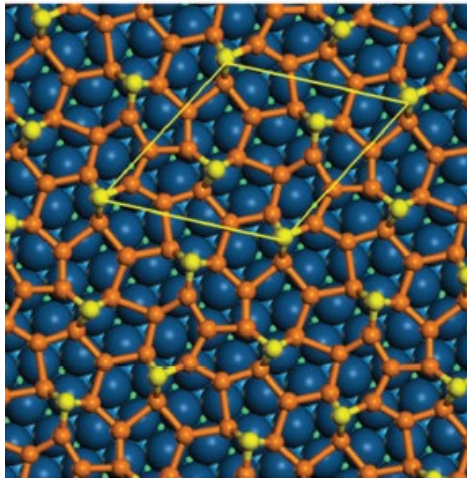
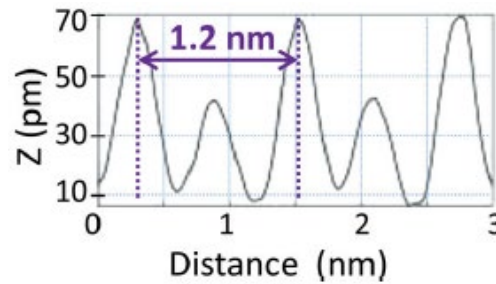
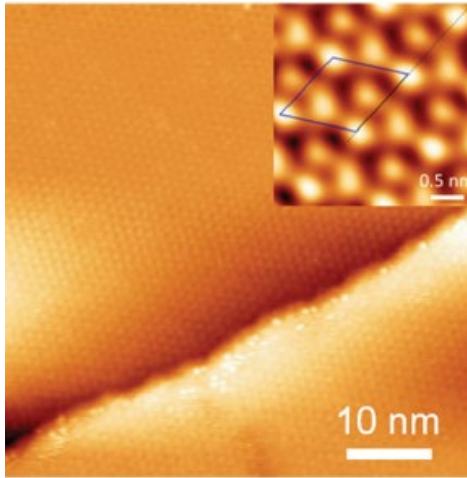
Ge lattice parameter, contracted of about 5% to that for free-standing germanene (0.38 nm and 0.397 nm, respectively)



At higher coverage hexagonal network with Germanene lattice periodicity

# GERMANENE on Pt(111)

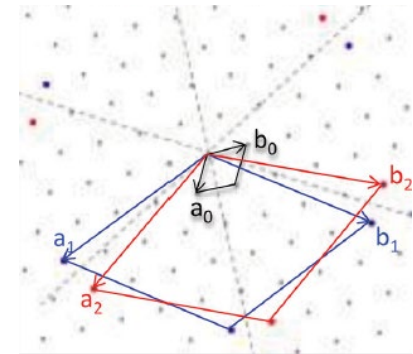
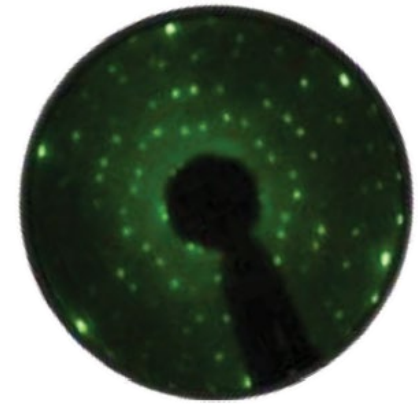
Ge/Pt(111) ANNEALED @300-350 °C



Theoretical calculations  
Ge-Ge strong covalent bonding!

L. Li et al., *Adv. Mater.* 2014, 26, 4820–4824

(3x3) Germanene on  
( $\sqrt{19} \times \sqrt{19}$ ) Pt(111)



R23.4° or R36.6°

Ge/Pt(111) ANNEALED @700 °C

Pt-Ge alloy with  $\sqrt{19} \times \sqrt{19}$  superstructures

XPD analysis: Ge substitutional atom in Pt sites

C.-S. Ho et al. / *Surface Science* 603 (2009) 1161-1167



# GERMANENE on Pt(111), Au(111), Al(111), Ag(111), Ge<sub>2</sub>Pt

## 2D MATERIAL EPITAXIAL GROWTH

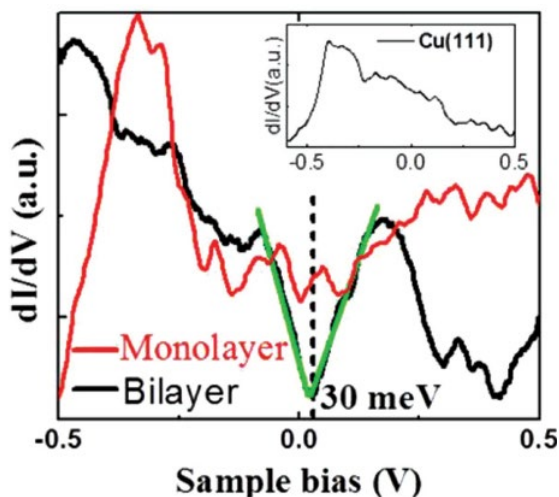
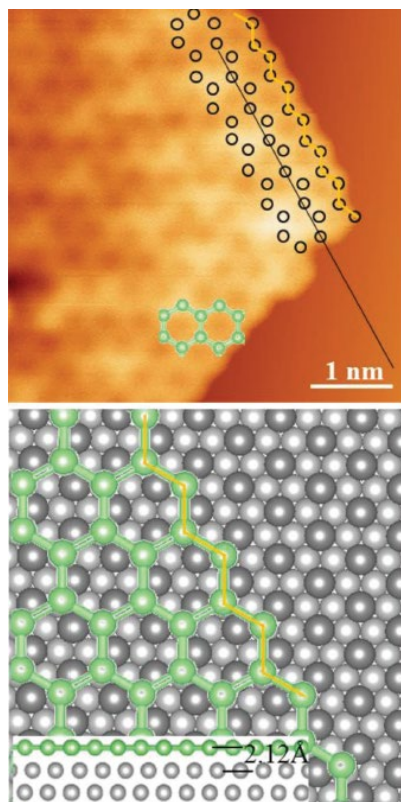
- 👁 It is always a Ge layer ?
- 👁 Strong interaction between the substrate and Ge atoms
- 👁 Strong modifications of Dirac cones or disappearance

## HOW CAN WE OBTAIN GERMANENE?

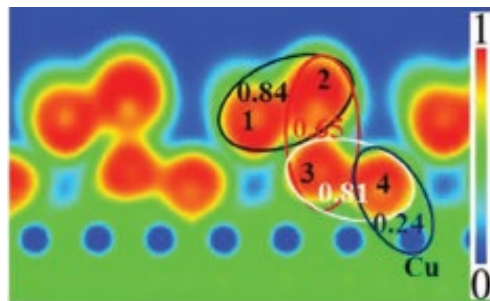
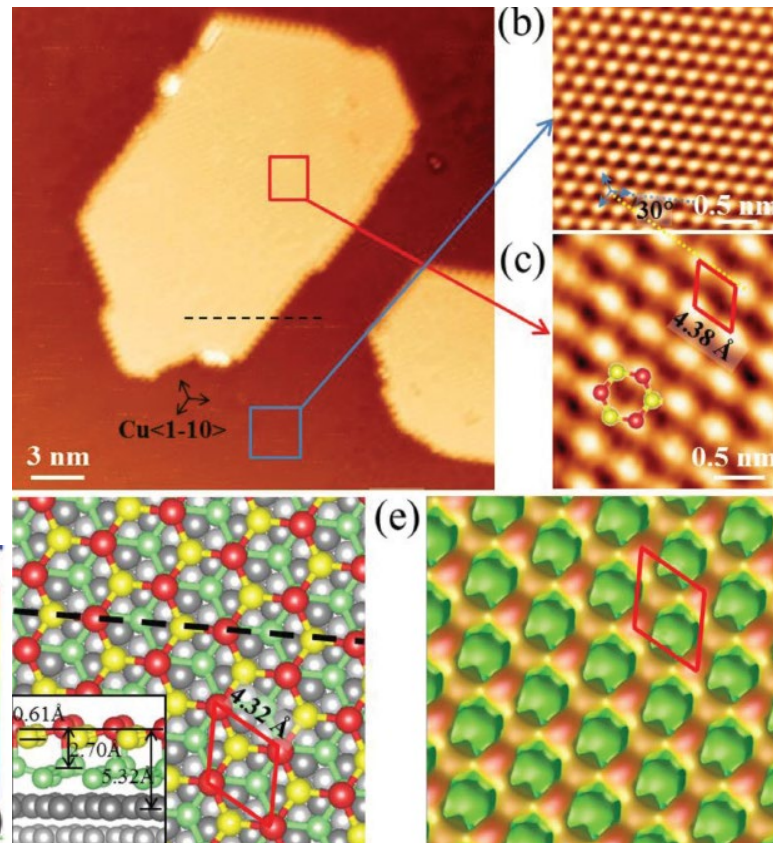
L. Li et al., *Adv. Mater.* 2014, **26**, 4820.  
M. E. Dávila et al., *New J. Phys.* 2014, **16**, 095002.  
M. Derivaz et al., *Nano Lett.* 2015, **15**, 2510.  
Y. Fukaya et al., *2D Mater.* 2016, **3**, 035019.  
L. Zhang et al., *Appl. Phys. Lett.* 2015, **107**, 111605.  
P. Bampoulis et al., *J. Phys. Condens. Matter* 2014, **26**, 442001

# GERMANENE on Cu(111) → use of a BUFFER LAYER

1 Ge ML → honeycomb



2 Ge ML →  $(\sqrt{3} \times \sqrt{3})R30^\circ$

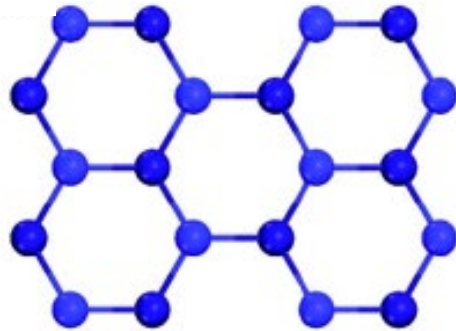


ELF calculations : values < 0.5 → electrostatic interactions

- Electrons from  $\frac{1}{2}$  Ge atoms of the first ML to Cu atoms  
→ Hole doping of Germanene 2<sup>nd</sup> layer → 30 meV downshift of the Dirac point
- $\frac{1}{2}$  Ge atoms of the first and the second layer covalently bound

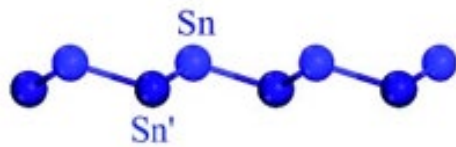
Ge-Ge distance: 0.254 nm  
(instead of 0.238 nm).  
Partial misfit strain relief  
giving rise to a quasi-planar Ge layer?

# FREE-STANDING STANENE THEORETICAL PREDICTIONS

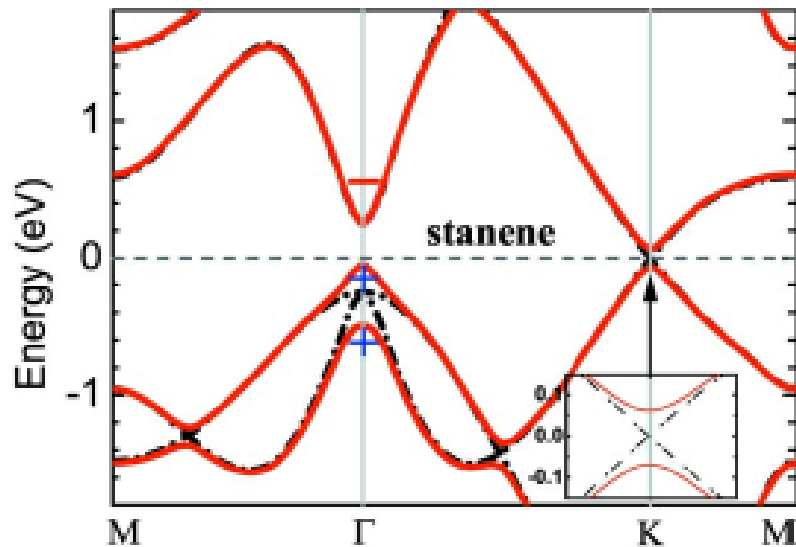


Low-buckling  
due to the weak  
 $\pi$ - $\pi^*$  interactions

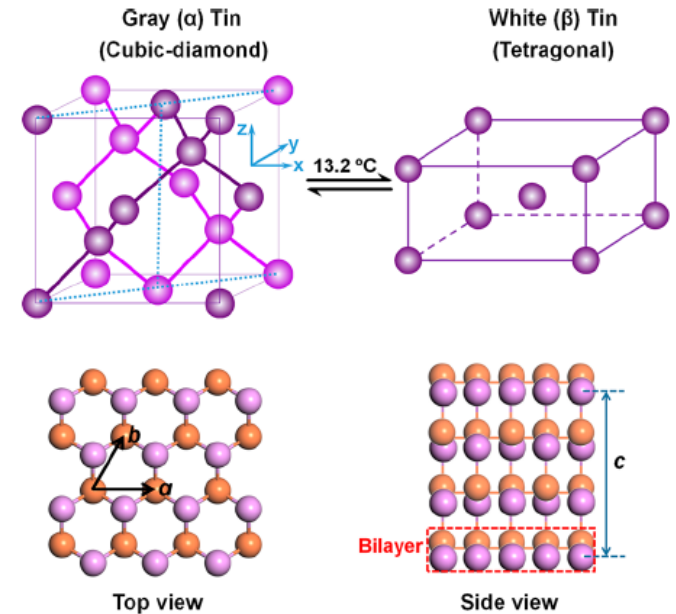
$$a = 0.462 \text{ nm}$$
$$\Delta = 0.085 \text{ nm}$$



stanene (2D Sn)



## STABLE $S_n$ 3D STRUCTURES



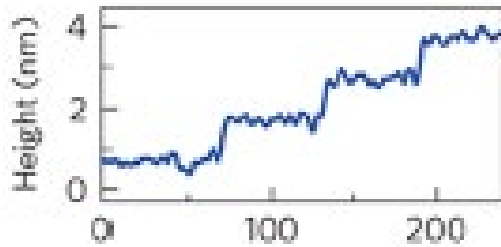
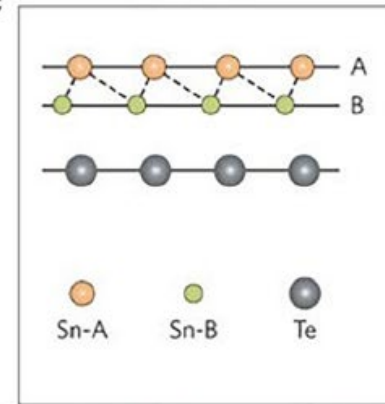
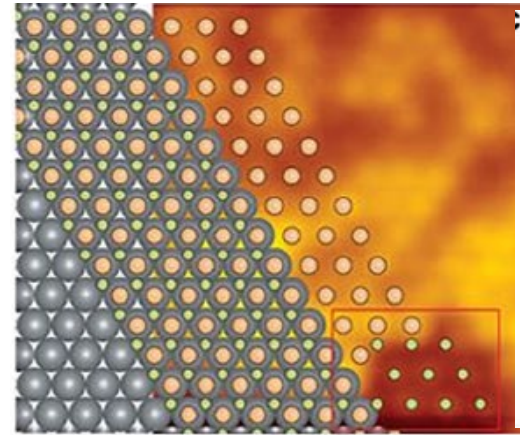
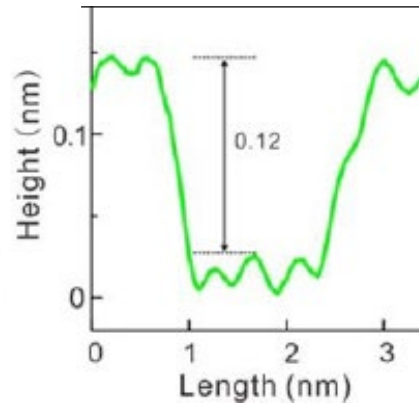
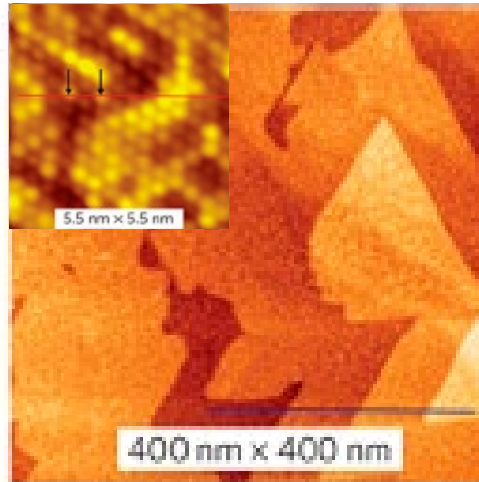
Dirac cones that without  
accounting for spin-orbit-coupling  
crosses at  $E_F$  at K

SOC opening of a gap 0.1 eV at K

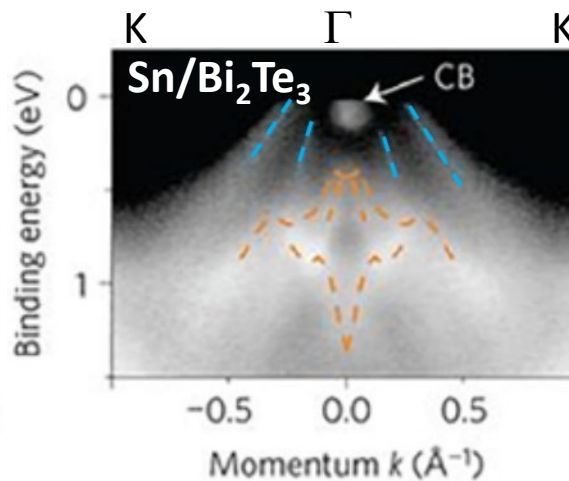
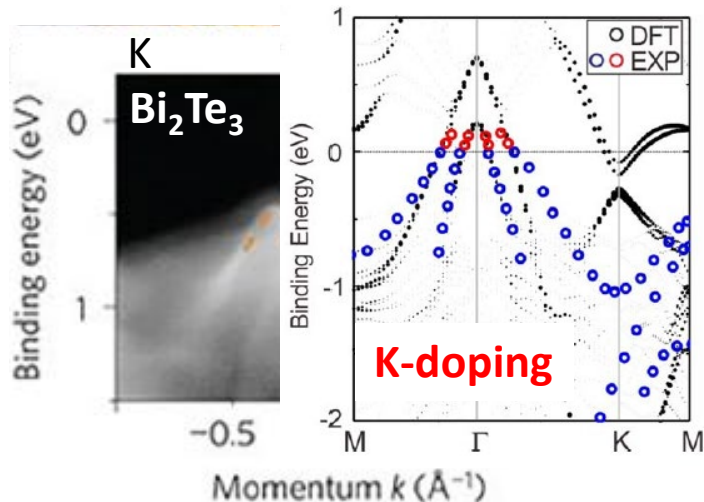


# Sn on Bi<sub>2</sub>Te<sub>3</sub> SUBSTRATE or FILM on Si(111)

Sn MBE DEPOSITED @RT



$a_{\text{Bi}_2\text{Te}_3} = 0.438 \text{ nm}$  ,  $a_{\text{Stanene}} = 0.462 \text{ nm}$   
 DFT calculations: compressive strain on stanene →  
 an increase in the buckling from 0.085 to 0.109 nm



Bi<sub>2</sub>Te<sub>3</sub> becomes metal  
 Electron transfer from  
 stanene to Bi<sub>2</sub>Te<sub>3</sub>

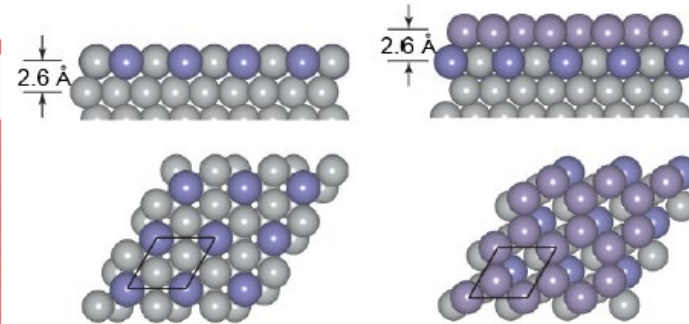
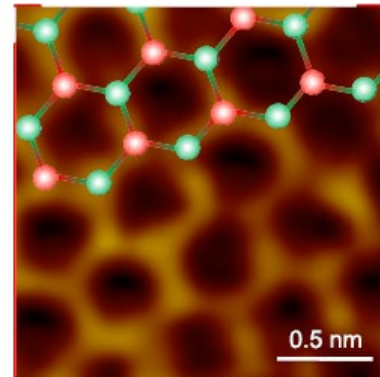
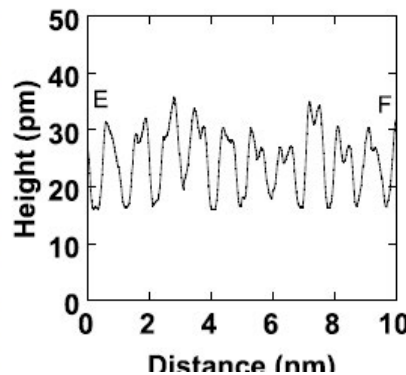
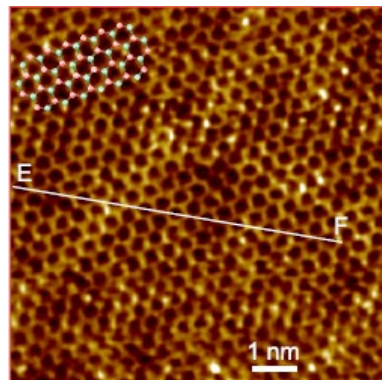
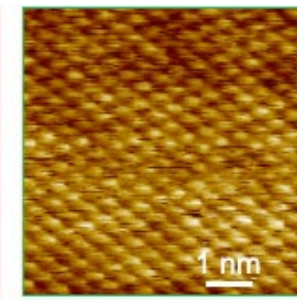
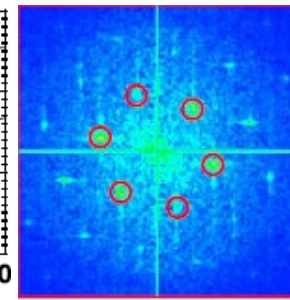
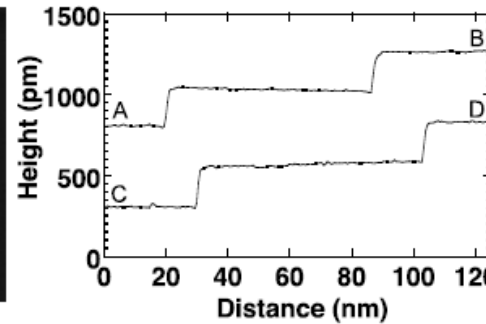
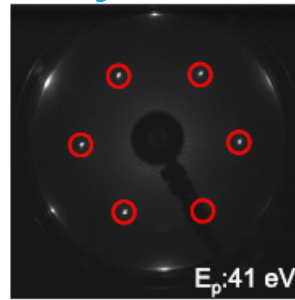
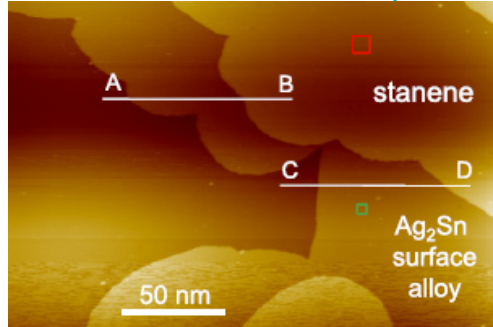
Stanene hole bands  
 appear

F. Zhu et al., Nature  
 Materials 14, 1020–1025 (2015)

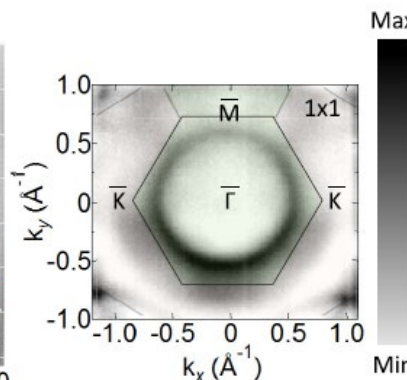
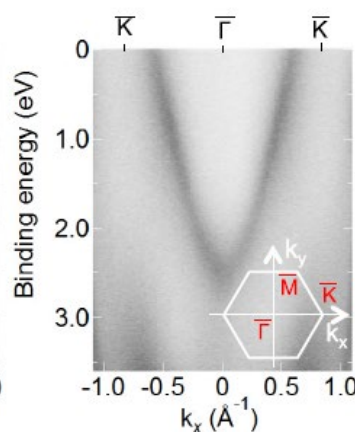
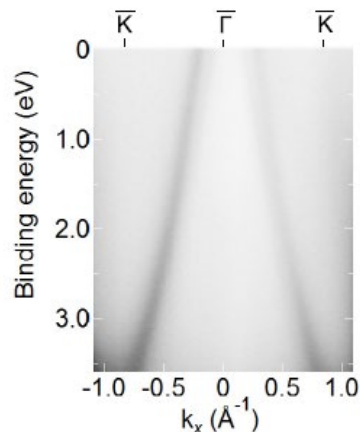
# Sn on Ag(111) SUBSTRATE

$\sqrt{3} \times \sqrt{3}$  Ag<sub>2</sub>Sn

Sn DEPOSITED @  $\sim 150^\circ\text{C}$  on Ag(111)



Low buckling ( $\sim 0.012\text{ nm}$ ) due to low mismatch



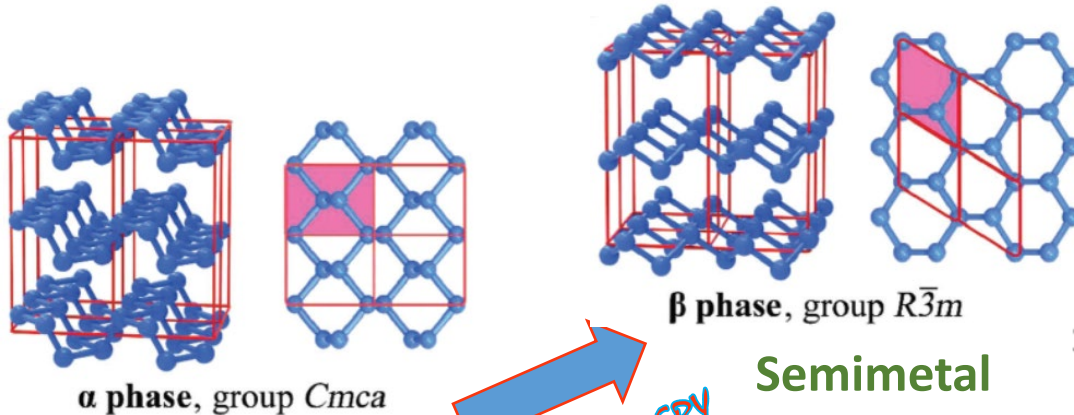
Strong interaction  
with the Ag<sub>2</sub>Sn layer  
underneath



No Dirac cone in stanene

# FREE-STANDING PHOSPHORENE THEORETICAL PREDICTIONS

PHOSPHORUS ALLOTROPES:  
RED, WHITE AND BLACK.  
NO ONE AVAILABLE IN NATURE.



Semimetal

Black P

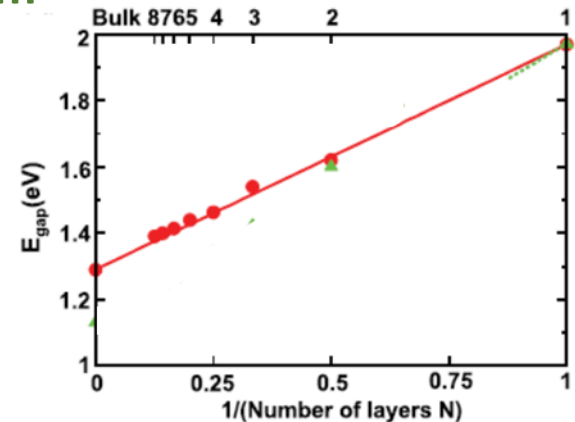
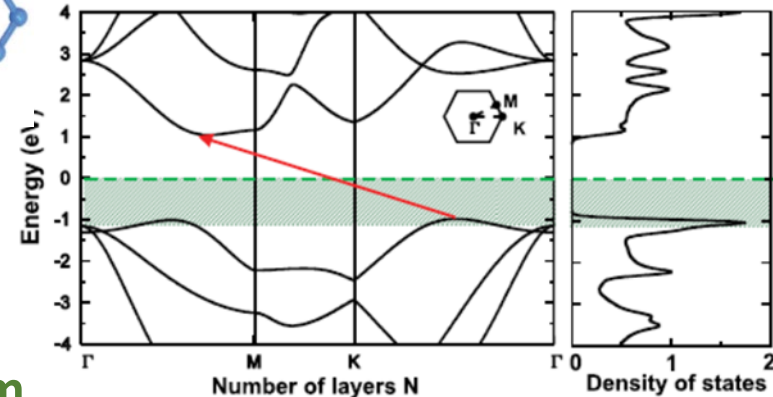
Most thermodynamical  
stable @ ambient  
conditions

@ UNDER VERY  
HIGH PRESSURE  
(SGP $\alpha$ )

$a = 0.333$  nm

- ⌘ Semiconductor,  
 $E_g = 0.3$  eV
- ⌘ high  $\mu \sim 10^3$  cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>
- ⌘  $E_g$  increases with N of  
layers decreasing

Blue P



~ 2 eV  
indirect  
gap

CHARGE INTERACTIONS INTERLAYER BONDING TYPE

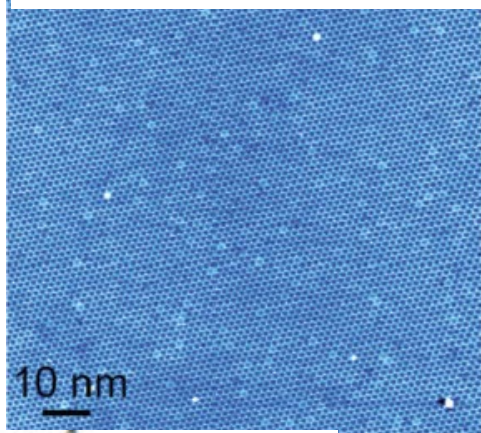


# BLUE P on PHOSPHORENE on Au(111)

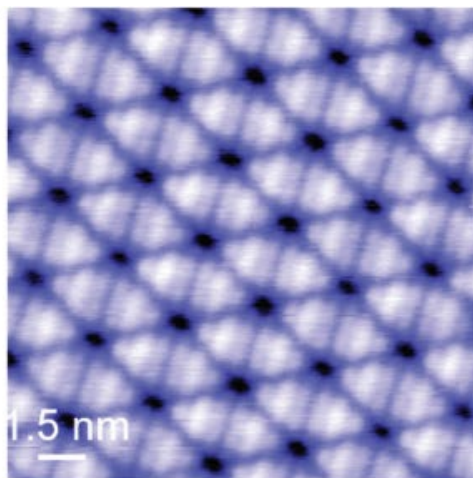
Solid source epitaxy from BlackP: via  $P_4$  and  $P_2$  clusters

Annealing @ 170-200°C

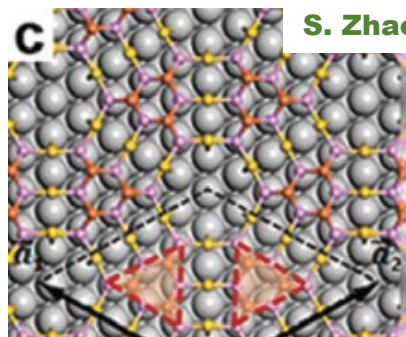
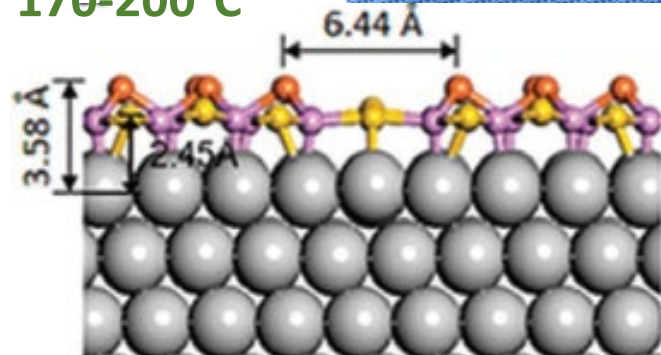
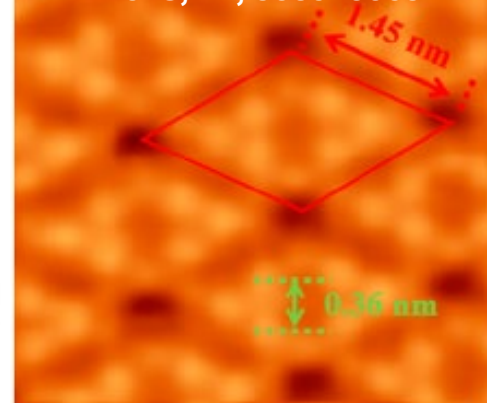
J. L. Zhang et al., Nano Lett. 2016, 16, 4903–4908



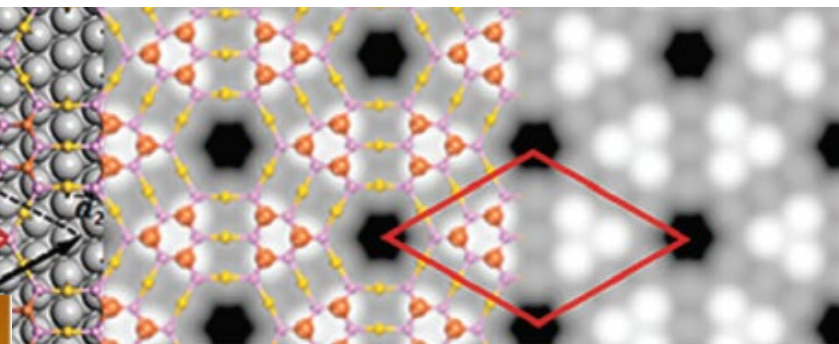
$(\sqrt{19} \times \sqrt{19})$  BlueP on  $(5 \times 5)$  Au(111)



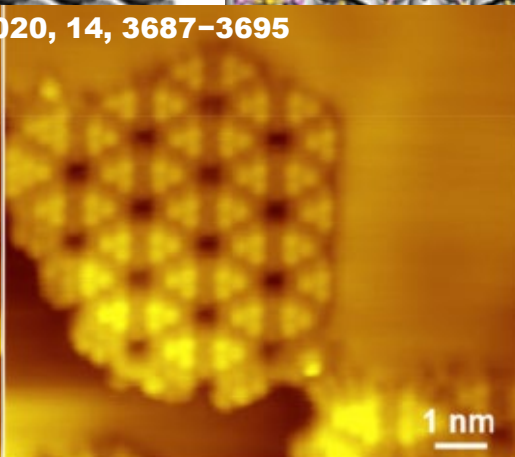
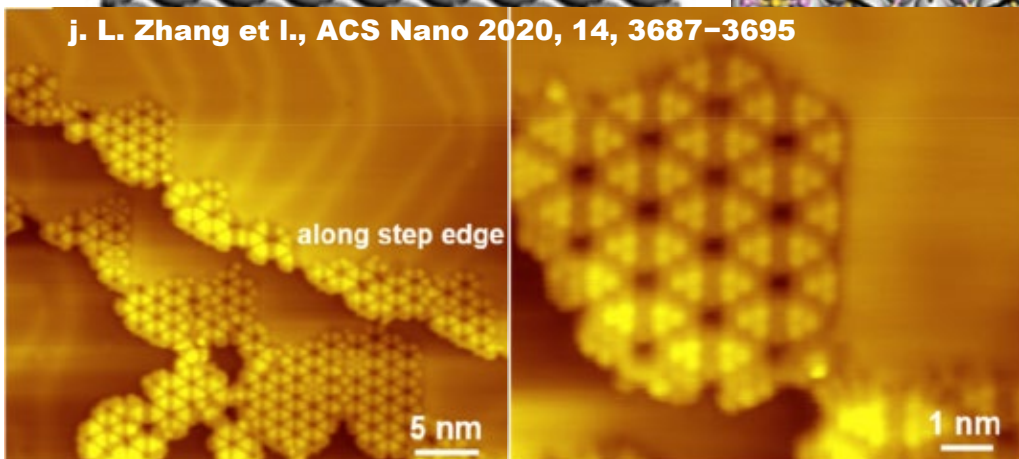
J. Zhuang et al., ACS Nano 2018, 12, 5059–5065



S. Zhao et al. J. Phys. Chem. C 2020, 124, 2024–2029



J. L. Zhang et al., ACS Nano 2020, 14, 3687–3695

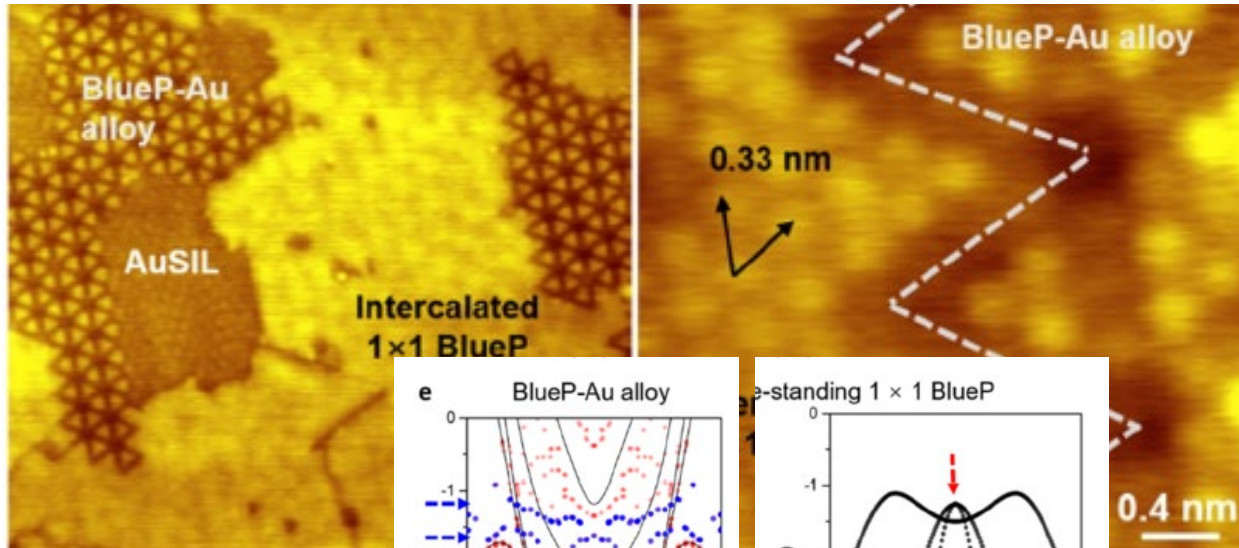


Strong interactions with substrate → P clusters break but P atoms remain confined and no stabilization in an extended P layer (also in Pt(111) & Cu(111))

Au atoms diffuse from the edges and interact with P atom fragments.

# DECOUPLING P AND Au SUBSTRATE by Si INTERCALATION

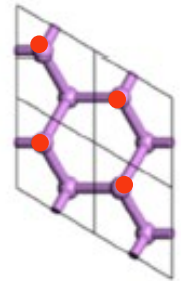
j. L. Zhang et al., ACS Nano 2020, 14, 3687–3695



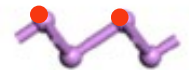
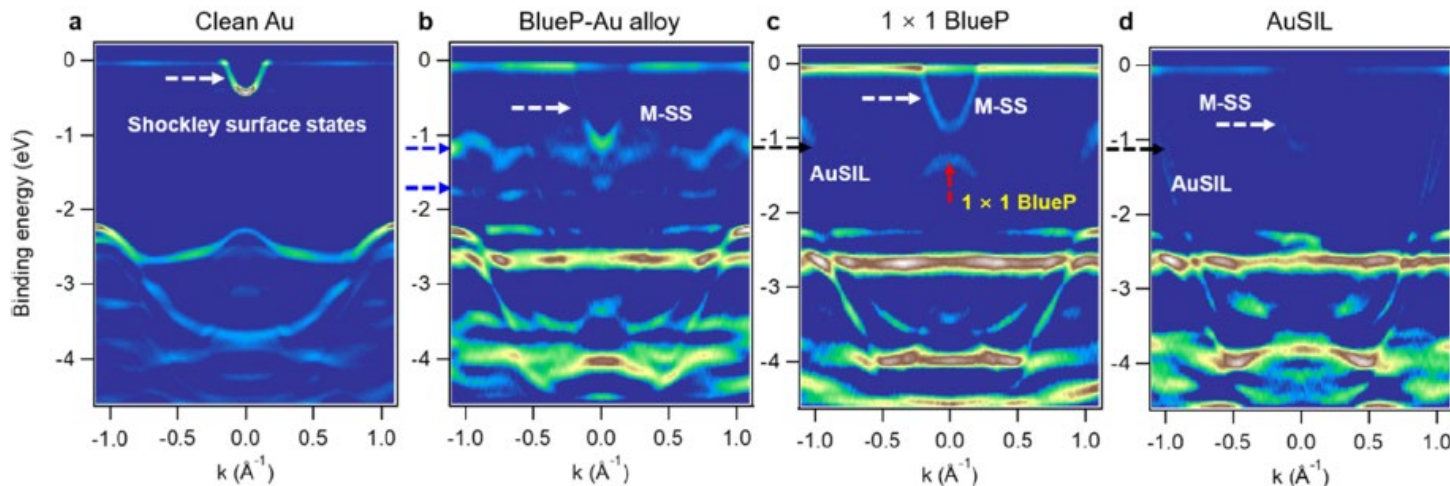
Si deposited on FC  
P/Au(111) substrate  
kept @ 250°C

BlueP-Au alloy lower than  
(1×1) BlueP of ~ 0.05 nm

0.33 nm  $\approx a$   
of FS BlueP  
network



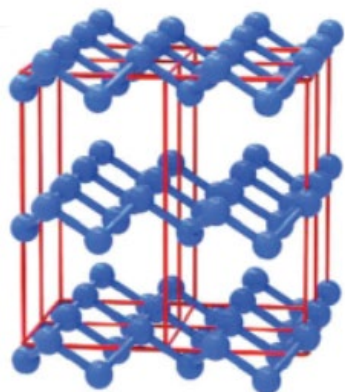
DFT  
CALCULATIONS



ARPES  
MEASUREMENTS

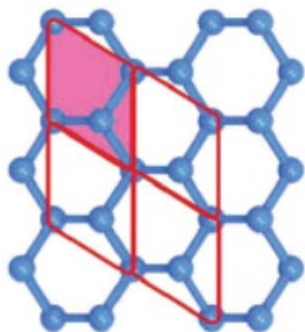


# FREE-STANDING BISMUTHENE THEORETICAL PREDICTIONS



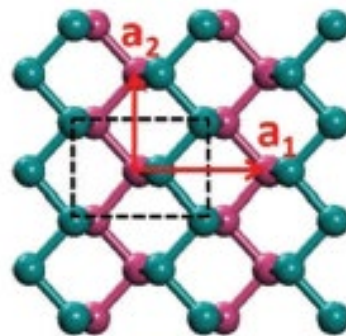
$\beta$  phase, group  $R\bar{3}m$

CHARGE INTERACTIONS  
INTERLAYER BONDING TYPE



Metal

$a_1 = 0.515$  nm  
 $a_2 = 0.451$  nm  
Bi-Bi = 0.310 nm

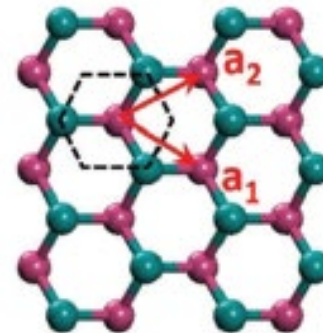


$\alpha$ -VAene



$E_g \sim 0.16$  eV  
(indirect) gap

$a = 0.438$  nm  
 $\Delta = 0.174$  nm  
Bi-Bi = 0.307 nm



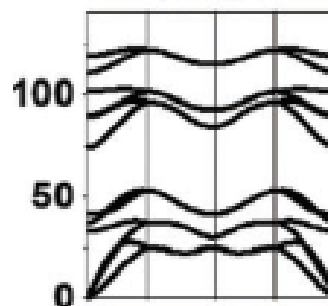
$\beta$ -VAene



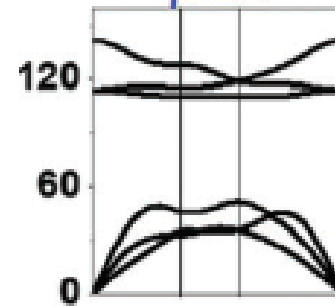
$E_g \sim 0.6$  eV  
(indirect) gap

ENERGETICALLY QUASI-EQUIVALENT PHASES

$\alpha$ -Bi

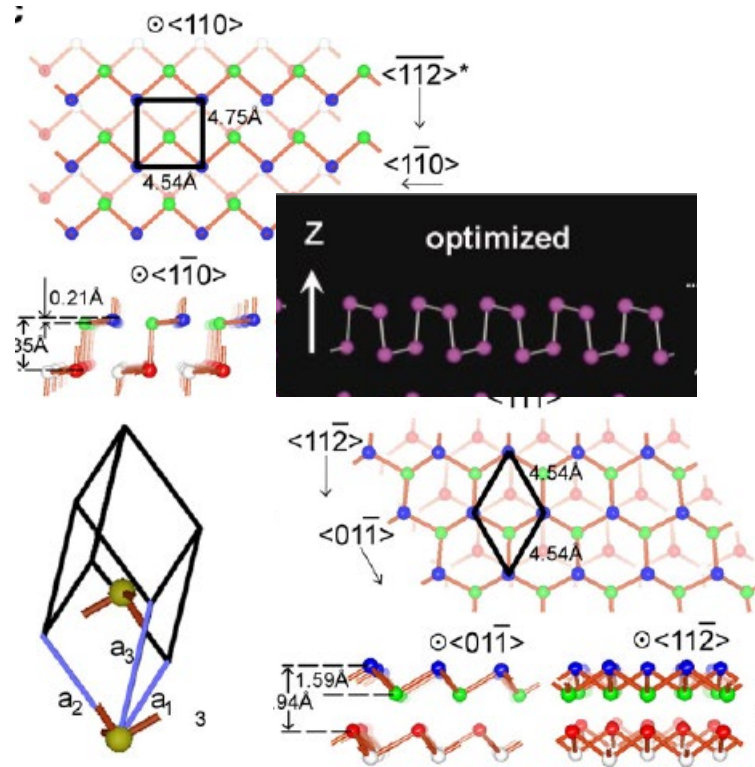


$\beta$ -Bi





# BISMUTHENE on Si(111)7x7 RECONSTRUCTED Bi DEPOSITION @ RT

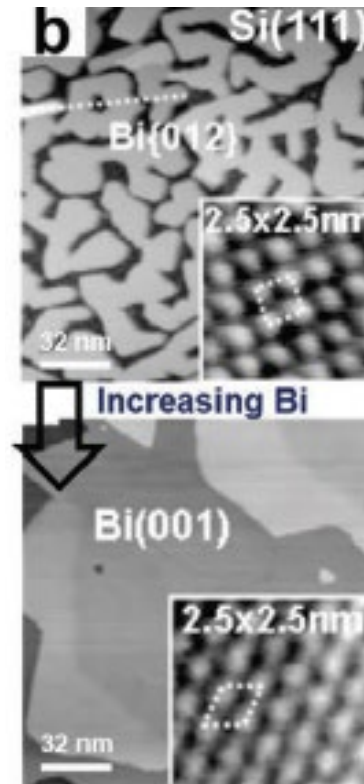


T. Nagao et al., PRL 93 (2004) 105501

2 ML and 4 ML Bi short ribbons or flat island formation also observed for HOPG and Gr/SiC(0001) substrate, evidence of BlackP-like network with no Bi intercalation evidence.

Y. Lu et al., Nano Lett. 2015, 15, 80.

H. Huang et al., J. Phys. Chem. C 2014, 118, 24995.

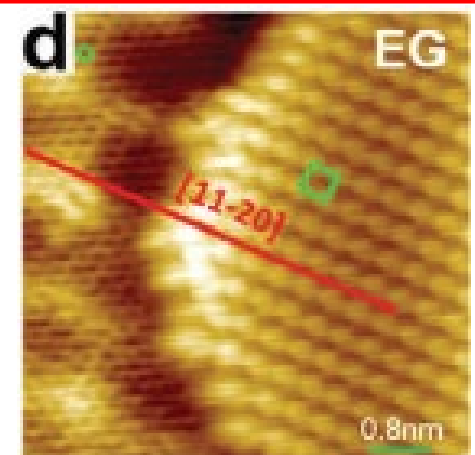
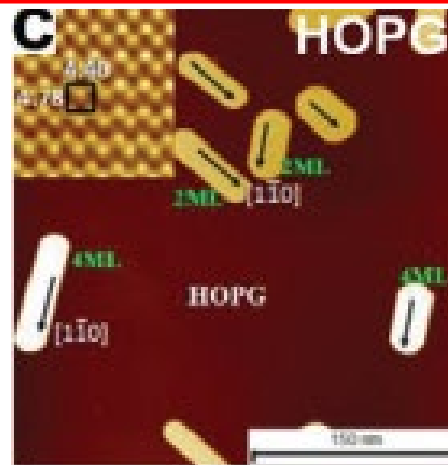


Disordered wetting layer formation @RT, which orders in a  $\sqrt{3} \times \sqrt{3}$  phase upon annealing.

Bi(110) oriented layers grow, stable with an even number of layers: i.e. stable when  $p_z$  dangling bonds are filled = Black P-like Bismuthene?

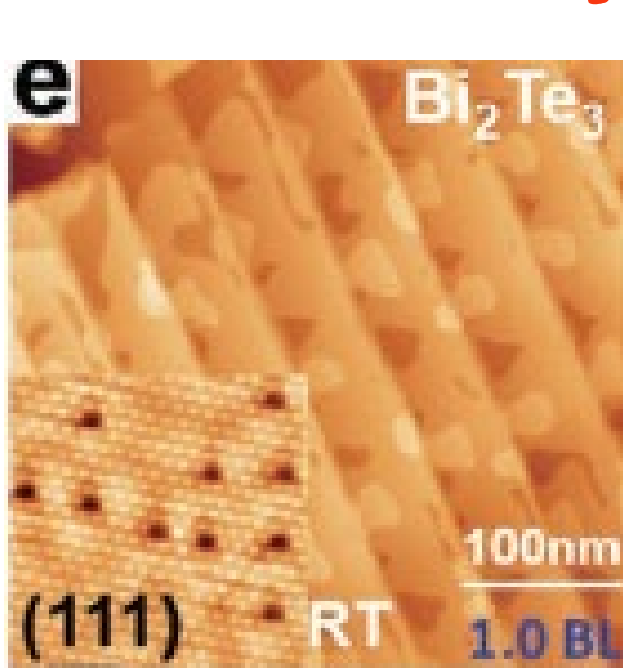
After Bi 4 ML, the BlackP-like Bi structure

BlueP-like Bismuthene

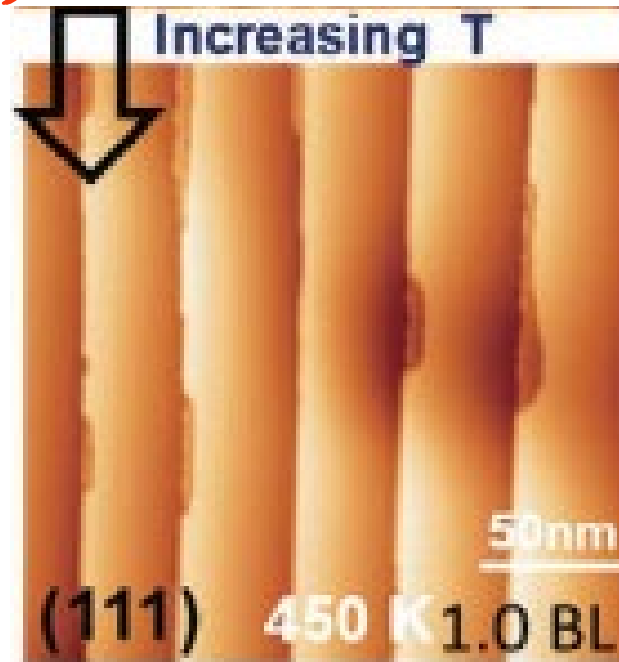


# BISMUTHENE on $\text{Bi}_2\text{Te}_3$

## RT DEPOSITION FAVORS BLUE-P-like Bi



M. Chen et al., Appl. Phys. Lett. 2012, 101, 081603.



At higher substrate T, higher surface mobility and longer diffusion length of Bi atoms which expand all over the TI terraces

Sharp interfaces ! vdW substrate!!!

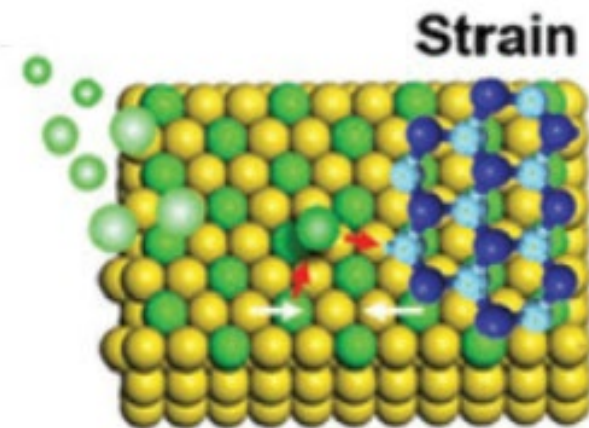
However in some cases (e.g.  $\text{NbSe}_2$ ) behaviour is like Si(111) and graphene

F. Yang et al., Phys. Rev. Lett. 2012, 109, 016801.

## On Au, Ag and Cu substrate

- No alloy on Au → after 60 ML BlueP-like network
- On Ag and Cu, complex alloying-dealloying process depending on the coverage

Beyond a certain coverage, strain on the alloy induced by the substrate produces a Bi segregation on the surface



# To Summarize

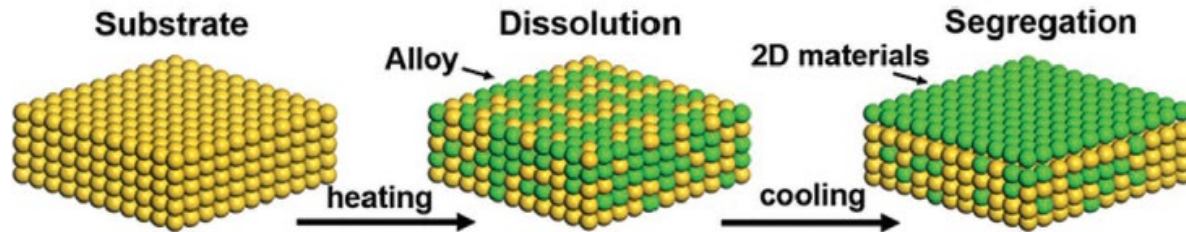
## It is not easy to obtain X-ene epitaxial growth

- ✓ CHOOSE of a SUITABLE SUBSTRATE CRYSTAL SURFACE NETWORK and LATTICE MISFIT
- ✓ THE ATOMIC PROPERTIES OF THE SUBSTRATE
  - IS IT PRONE TO STRONG INTERACTIONS WITH THE OVERLAYER?
    - ❑ Change in the (expected) physical properties of the X-ene
    - ❑ Restriction in obtaining surface aggregation of atoms
  - OR ARE THE INTERACTION TOO WEAK?
    - ❑ Generation of three-dimensional islands
- ✓ THE PHASE DIAGRAM OF THE SUBSTRATE AND OVERLAYER ATOMIC ELEMENTS
  - ❑  $\frac{\Delta a}{a} < 15\%$  gives rise to substitutional alloy
  - ❑ The smaller electronegativity difference, the higher solubility
  - 👉 the higher surface reactivity than bulk
  - 👉 The energy released by absorbed atoms which can be used to overcome energy barrier and interact with surface host atoms
- ✓ THE SURFACE ENERGY OF THE AS-PREPARED MATERIAL WHICH MUST BE LOWER THAN THE SUBSTRATE ONE
- ✓ DEFECTS AND TERRACE EDGES CAN PLAY A ROLE

NEW MATERIALS with  
UNUSUAL ELECTRONIC  
PROPERTIES

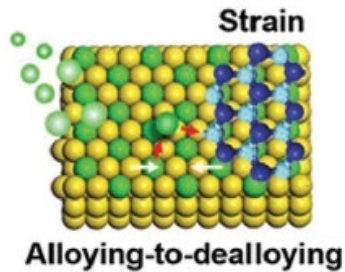


## ELEMENTS WITH HIGH SOLUBILITY IN THE SUPPORTED SUBSTRATES CAN DIFFUSE DEEPLY TO FORM A BULK-LIKE ALLOY



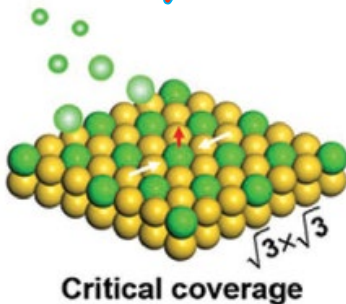
e.g. graphene/Ni,  
germanene/Ag(111)

## ALLOYING-TO-DEALLOYING



e.g. Bi on Cu(111) and Ag(111); stanene on Au(111)

## FORMATION of a WELL-DEFINED SURFACE ALLOY



- ✓ during the growth:  
e.g. Bi on Si(111), HOPG and Gr/SiC; C on SiC
- ✓ through intercalation processes  
e.g. H-Gr-SiC; P-Si-Au(111)

2-8 july  
2021

# PULSE school

Epitaxy bases and promises

**THANK YOU FOR YOUR ATTENTION**



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